

## Notice of Amendment on Investment Services Charges

Dear Valued Customer,

With effect from 1 November 2018 (the "Effective Date"), service charges will be revised (shown as below). Revised Contents are underlined.

Item	Original Charge	Revised Charge
<b>Investment Services</b>		
(Shanghai - Hong Kong Stock Connect / Shenzhen - Hong Kong Stock Connect - Northbound Trades Only) Trading-related Services (RMB) A. Brokerage Commission - Non-electronic channels	Min. RMB100 and in accordance with the following tiers of transaction amount per trade: Below RMB300,000: 0.25% RMB300,000 – below RMB1,000,000: 0.2% RMB1,000,000 or above: 0.1%	Min. RMB100 and in accordance with the following tiers of transaction amount per trade: - <b><u>Private banking Customers /Elite Club Banking Customers</u></b> Below RMB300,000: <b><u>0.2%</u></b> RMB300,000 – below RMB1,000,000: <b><u>0.15%</u></b> RMB1,000,000 or above: 0.1% - <b><u>e-Age Banking Customers/Other Customers</u></b> Below RMB300,000: 0.25% RMB300,000 – below RMB1,000,000: 0.2% RMB1,000,000 or above: 0.1%
- Electronic channels	Min. RMB88 and in accordance with the following tiers of transaction amount per trade: Below RMB1,000,000: 0.15% RMB1,000,000 or above: 0.1%	Min. RMB88 and in accordance with the following tiers of transaction amount per trade: - <b><u>Private Banking Customers/Elite Club Banking Customers</u></b> Below RMB1,000,000: <b><u>0.125%</u></b> RMB1,000,000 or above: 0.1% - <b><u>e-Age Banking Customers</u></b> Below RMB1,000,000: <b><u>0.138%</u></b> RMB1,000,000 or above: 0.1% - <b><u>Other Customers</u></b> Below RMB1,000,000: 0.15% RMB1,000,000 or above: 0.1%
Financing and Other Services Application for newly listed shares (application in the name of ICBC (Asia) Nominee Ltd)  - Non-electronic channels - Electronic channels	(waived for Private Banking Customers / Elite Club Banking Customers)  HKD/RMB100 per application HKD/RMB50 per application	(waived for Private Banking Customers / Elite Club Banking Customers / <b><u>Cash application amount at HKD/RMB1,000,000 or above / IPO subscription (loan)</u></b> )  HKD/RMB100 per application HKD/RMB50 per application
Scrip Handling and Settlement-related Services Receipt & delivery against payment through CCASS (Trading not through our Bank) - Receipt against payment	0.125% on payment amount plus deposit transaction charge [HKD 10 per board lot (HKD 6 per board lot of deposit of board lots in	<b><u>Waived</u></b>

- Delivery against payment	<p>excess of 100), min. HKD 30] and CCASS charge [0.002% on settlement amount, plus HKD 2.20 per transaction (min. HKD 4.20 and max. HKD 102.20)] (min. HKD 134.20)</p> <p>0.125% on payment amount plus CCASS charge [0.002% on settlement amount, plus HKD 2.20 per transaction (min. HKD 4.20 and max. HKD 102.20)] (min. HKD 104.20)</p>	<p><b><u>HKD 10 per stock per board lot (min. HKD 100)</u></b></p>
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Please note your continuous use of our service(s) after the respective Effective Dates above mentioned will be regarded as your acceptance of the above amendments. If you decline to accept the above amendments, we may not be able to continue to provide the relevant service(s) to you and please notify us through the following Customer Service Hotline for the cancellation arrangement.

For enquiry, please call our Customer Service Hotline at 218 95588, browse our website [www.icbcasia.com](http://www.icbcasia.com) or visit any of our branches.

**Industrial and Commercial Bank of China (Asia) Limited**

August 2018

(The English version of this Notice shall prevail wherever there is a discrepancy between the English and the Chinese versions.)