

Switching / Redemption Form

ICBC ASSET MANAGEMENT (GLOBAL) FUNDS

Please return this form to:

The Trustee and Registrar

HSBC Institutional Trust Services (Asia) Limited

c/o 17/F Tower 2 & 3, HSBC Centre

No. 1 Sham Mong Road, Kowloon, Hong Kong

Attention: Transfer Agency

Facsimile: (852) 2801 4928 Telephone: (852) 2847 1100

Further information can be obtained from the Manager:

ICBC Asset Management (Global) Company Limited

Unit 2507-10, 25/F., ICBC Tower, 3 Garden Road

Central, Hong Kong

Facsimile: (852) 2537 3433 Telephone: (852) 3510 0800

Email: enquiry@icbcamg.com

Redemption form sent by facsimile shall be followed by sending the originals to the Trustee and Registrar promptly.

UNITHOLDER DETAILS

Account Name _____

Account No. _____ Contact Person. _____

I.D./Passport No. _____ Daytime Telephone No. _____

Nationality _____

Country/Place of Birth _____

Registered address. _____

SWITCHING DETAILS

Please redeem

Name & Share Class of Sub-Fund	Amount (in the base currency of the Sub-Fund)/No. of Units
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

Note: Switching is not currently permitted for the Global RMB Fixed Income Fund

and switch to

Name & Share Class of Sub-Fund	Name & Share Class of Sub-Fund
1. _____	4. _____
2. _____	5. _____
3. _____	

* Subject to any restrictions in switching as set out in the "Switching between Sub-Funds" section of the Explanatory Memorandum

REDEMPTION

Please redeem

Name of Sub-Fund/No. of Units	Amount (in the base currency of the Sub-Fund)
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

RESTRICTED

July 2021 version

PAYMENT DETAILS

Currency: ☐ RMB ☐ US\$ ☐ HK\$ ☐ Others, please specify ()

If there is no indication stated, redemption proceeds will be paid in the class currency of the relevant units.

METHOD OF PAYMENT

(Please fill in with block capitals)

Direct Transfer/Telegraphic Transfer

Beneficiary Bank Name and Branch: _____

Address of Beneficiary Bank: _____

SWIFT Address: _____

Beneficiary Bank Account No.: _____

Beneficiary Account Name: _____

(Please note that Account Name must be the same as the name of the unitholder)

Correspondent Bank Name and Branch (to be used for non-HKD and non-RMB payment): _____

SWIFT Code/SORT Code: _____

Correspondent Bank Address: _____

Only a bank in New York State will be accepted for USD remittance.

If there are no payment details indicated on this redemption form, redemption proceeds will be paid to the bank account as originally provided in the subscription form.

SIGNATURES

I/We repeat, as appropriate, the acknowledgements and declarations set out in the latest subscription form submitted by me/us relating to the units.

I/We confirm that I/we have received and read the latest Offering Document (including but not limited to the Explanatory Memorandum and the Product Key Facts), including any addendum and financial reports of the ICBC Asset Management (Global) Funds (“**Fund**”) and the Sub-Fund and agree to be bound by their terms and conditions as well as the constitutional documents of the Fund. I was/we were specifically invited to read them, ask questions and take independent advice if I/we wish. Although the decision I/we make may not be suitable for me/us in light of my/our financial situation, investment experience and objectives, I/we rely on my/our own judgment and request in making the decision detailed in this redemption form and it has not been made based on any advice or recommendation from the Manager or its fellow subsidiaries.

I/We understand the cost of currency conversion (if applicable) will be deducted from the redemption proceeds whether payable to the holder or being applied as subscription monies for units in another currency.

I/We understand that in respect of the Sub-Fund the bank charges and the telegraphic transfer charges will be deducted from the redemption proceeds paid.

I/We understand that the Manager or the Trustee may delay payment to me/us until (a) if required by the Trustee, the Manager or the Transfer Agent, the original of this redemption form duly signed by me/us has been received; (b) where redemption proceeds are to be paid by telegraphic transfer, my/our signature(s) has/have been verified to the satisfaction of the Trustee (or the Transfer Agent on behalf of the Trustee); and (c) I/we have produced all documents or information required by the Trustee, the Manager and/or the Transfer Agent for the purpose of verification of identity.

I/We understand that the Manager or the Trustee may refuse to make a redemption payment to me/us if either the Manager or the Trustee suspects or is advised that (i) such payment may result in a breach or violation of any anti-money laundering law or other laws or regulations by any person in any relevant jurisdiction; or (ii) such refusal is necessary or appropriate to ensure compliance by the Fund, the Manager, the Trustee or other service providers with any such laws or regulations in any relevant jurisdiction.

In the event that the Trustee and Transfer Agent agrees to act on my/our instructions given by facsimile or other electronic means in relation to the payment of Redemption proceeds without requiring the original signed instructions to be received, I/we confirm and acknowledge that (1) instruction given by facsimile or other electronic means are not secure means of communication, and internet communications are capable of being delayed or intercepted by third parties and their confidentiality, security and integrity cannot be guaranteed, and that I am/we are aware of the risk involved, and that my/our request to the Trustee or Transfer Agent to accept such facsimile or other electronic instructions is for my/our convenience and at our risk; (2) each of the Trustee and Transfer Agent is hereby authorised to act on such facsimile or other electronic instruction which the Trustee or Transfer Agent, at its sole discretion, believes to be provided by me/us, the Trustee or Transfer Agent shall not be required to act upon any facsimile instruction which is received from unauthorized persons; (3) any transaction made pursuant to a facsimile or other electronic instruction acted upon is in good faith and in the absence of negligence, default or fraud shall be binding upon me/us whether made with or without my/our authority, knowledge or consent; (4) I/we undertake to keep the Trustee and Transfer Agent indemnified at all times against, and to save the Trustee and Transfer Agent harmless from all actions, proceedings, claims, losses, damages, costs and expenses which may be brought against the Trustee and Transfer Agent or suffered or incurred by the Trustee and Transfer Agent and which shall have arisen either directly or indirectly out of or in connection with the Trustee's or the

RESTRICTED

Transfer Agent’s accepting such instructions which purport to be given by me/us and acting thereon, whether or not the same are confirmed in writing by me/us.

I/We confirm that I/we have the capacity, power and authority to enter into and perform my/our obligations under this redemption form and the Offering Document, and that the Trustee and the Transfer Agent are entitled to rely on my/our signature(s) in this redemption form without further verification.

Full name(s) of unitholder(s) in block capitals	Signature(s)
1. _____	1. _____
2. _____	2. _____

Date: _____

Notes and Instructions

1. Units are offered on the basis of the information contained in the current Offering Document of the Fund and the Sub-Fund as issued with the latest annual report(s) and accounts and, if later the semi-annual report(s) of the Sub-Fund and such additional documents (if any) as may be issued by the Fund and/or ICBC Asset Management (Global) Company Limited expressly in conjunction with the issue of such Offering Document. Any further information or representations made by any dealer, salesman or other person must be regarded as unauthorised and must not be relied upon.
2. This form must be signed by all joint unitholders unless joint holders have given written instructions to the Trustee and Transfer Agent specifying that one or more of them shall be authorised to sign this form.
3. If signed under a power of attorney such power of attorney or a duly certified copy thereof should accompany this form. For corporate unitholders this form should be signed by one or more authorised signatory.
4. If a redemption request will result in a unitholder holding units of a Class less than the minimum holding amount for that Class, the Manager may deem such request to have been made in respect of all units of the relevant Class held by that unitholder.
5. A redemption form received by the Transfer Agent and/or Authorised Distributor(s) on or before 4:00p.m. (Hong Kong time) of a HK and PRC Business Day will be dealt with on that HK and PRC Business Day. If an application for redemption of units is received after 4:00p.m. (Hong Kong time) of a HK and PRC Business Day then the application will be held over until the next HK and PRC Business Day.
6. Redemption proceeds will normally be paid by direct transfer or telegraphic transfer in RMB to the pre-designated bank account of the unitholder (at his risk and expense). No third party payments will be permitted. Any bank charges associated with the payment of such redemption proceeds will be borne by the redeeming unitholder.
7. Redemption proceeds may be paid in a currency other than RMB if so requested by the relevant redeeming unitholders and agreed by the Manager. Where redemption proceeds are paid in a currency other than RMB, they will be converted from RMB at the cost of the relevant redeeming unitholders. The cost of currency conversion (including but not limited to any bank charges and charges for telegraphic transfer) will be deducted from the redemption proceeds. Save for any liability imposed under the laws of Hong Kong or for breach of trust through fraud or negligence of the Trustee or the Manager, none of the Manager, the Trustee or their respective agents or delegates will be liable to any unitholder or any person for any loss suffered by such unitholder arising from such currency conversion.
8. The completed redemption form should be sent by post or by facsimile to the Transfer Agent, followed by original to the Transfer Agent at the business address.
9. Neither the Manager, the Trustee, the Transfer Agent nor any of their duly appointed agents, employees or delegates will be responsible for any loss resulting from the non-receipt or illegibility of any redemption form sent by fax or for any loss resulting from acting or refusing to act on such faxed instructions believed in good faith to have originated from properly authorized persons. In particular, the fact that a transmission report produced by the originator of such transmission discloses that the transmission was sent shall not (without your written confirmation of receipt) be sufficient proof of receipt by the Trustee and Registrar, the Manager nor their duly appointed agents. The Manager, the Trustee and Registrar may take any action they deem appropriate to process applications made by redemption form through fax or other electronic means, notwithstanding any error, misunderstanding or lack of clarity in the form. The Manager, the Trustee and Registrar should not be liable for any direct or indirect loss resulting from their acting or not acting on any applications made by redemption form submitted through fax or other electronic means. Where this redemption form is sent by fax, you must also send the original signed copy to the address specified above.
10. Redemption procedures are detailed in the Offering Document of the Fund and the Sub-Fund and the Addenda thereto (if any), and may also be confirmed with the Transfer Agent. In the event of inconsistency between the terms in the Offering Document (including the Addenda, if any) and this redemption form, the terms in the Offering Document shall prevail.

For agent's use only:

Agent details: