

**Notification of RMB transfer arrangements for Shanghai-Hong Kong Stock Connect (Northbound trading)**

We refer to the new securities trading services *via* the Shanghai-Hong Kong Stock Connect (Northbound trading) (“**Northbound Trading**”) to be provided by us to our clients.

**If you are a Safekeeping client:**

- Please kindly ensure your accounts have sufficient funds by 4:30 pm on cash settlement date (T+1) of your purchase, to avoid debit balance in your account which would attract interest.
- Please kindly provide the deposit slip via email/fax when you deposit funds into our bank accounts.
- For sale of securities, we shall credit the proceeds to the client’s account on T+1.

**If you are a Non-safekeeping client:**

- Unlike HK shares, CCASS currently does not support DvP mode of settlement between brokers and custodian.
- For shares purchase, please kindly notify us and transfer the funds by 4:30 pm on cash settlement date (T+1). Upon verification of funds receipt, we would submit our delivery instruction onto the CCASS system to be matched with the instruction submitted by the client’s custodian for stock delivery to take place.
- Please kindly provide your up-to-date settlement instruction for stock and RMB cash.

Our RMB bank account for accepting client’s RMB deposits or transfer:

Bank: Hong Kong & Shanghai Banking Corp., Hong Kong  
Account Name: ICBC International Securities Limited  
Account Number: 004-808-509731-209

Our CCASS’s membership (same for HK shares)

CCASS Participant ID: B01866  
Account Name: ICBC International Securities Limited

Our Settlement contacts:

Email: [icbcisett@icbci.icbc.com.cn](mailto:icbcisett@icbci.icbc.com.cn)  
Fax: +852 2683 3611 or +852 2206 3709

If you have any questions, please do not hesitate to contact your Sales Representative.