

# FATCA Self-Certification Declaration

## (For Corporate Customer)

Name of the company: \_\_\_\_\_

Registration number of the company: \_\_\_\_\_

- |  | Yes                      | No                       |
|--|--------------------------|--------------------------|
| 1 Is the company organised or incorporate in the U.S.? | <input type="checkbox"/> | <input type="checkbox"/> |

If yes, please provide the company's US taxpayers identification number (TIN) \_\_\_\_\_

- |  |                          |                          |
|--|--------------------------|--------------------------|
| 2 Do you have any U.S. Shareholder who has more than 10% of the company's share? | <input type="checkbox"/> | <input type="checkbox"/> |
|--|--------------------------|--------------------------|

- |   |                          |                          |
|---|--------------------------|--------------------------|
| 3 Is the customer a Financial Institution*? | <input type="checkbox"/> | <input type="checkbox"/> |
|---|--------------------------|--------------------------|

If yes, please provide the financial institution's Global Intermediary Identification Number (GIIN)

- |  |                          |                          |
|--|--------------------------|--------------------------|
| 4 Are any of the company directors or beneficial owners** a US citizen, or a US resident for tax purposes? | <input type="checkbox"/> | <input type="checkbox"/> |
|--|--------------------------|--------------------------|

If yes, please provide the name, address and taxpayers identification number (TIN) for each director and/or beneficial owner below.

Name \_\_\_\_\_ TIN \_\_\_\_\_

Address \_\_\_\_\_

Name \_\_\_\_\_ TIN \_\_\_\_\_

Address \_\_\_\_\_

**Declaration**

I \_\_\_\_\_(Name) declare that the  
information provided in this form is true, correct and complete as at \_\_\_\_\_  
\_\_\_\_\_(Date).

**Signed** \_\_\_\_\_

**on behalf of** \_\_\_\_\_

Note:

\* A financial institution is any of the following: a custodial institution (that conducts business holding assets for others), a depository institution (a bank or other institution that accepts deposits), an investment entity (conducts, or is managed by an entity that conducts, money market trading, portfolio management or investing, administering or managing funds or money (for example a responsible entity for managed funds or a professional fund manager) or a specified insurance company that issues cash value insurance contracts or annuity. But the definition of 'financial; institution' does not include small passive investment vehicles such as family trusts or personal investment companies that are not managed by professional trustees or fund managers.

\*\*beneficial owner means the individual who

- (a) has effective control of a customer or person on whose behalf a transaction is conducted;
- or
- (b) owns a prescribed threshold of the customer or person on whose behalf a transaction is conducted.