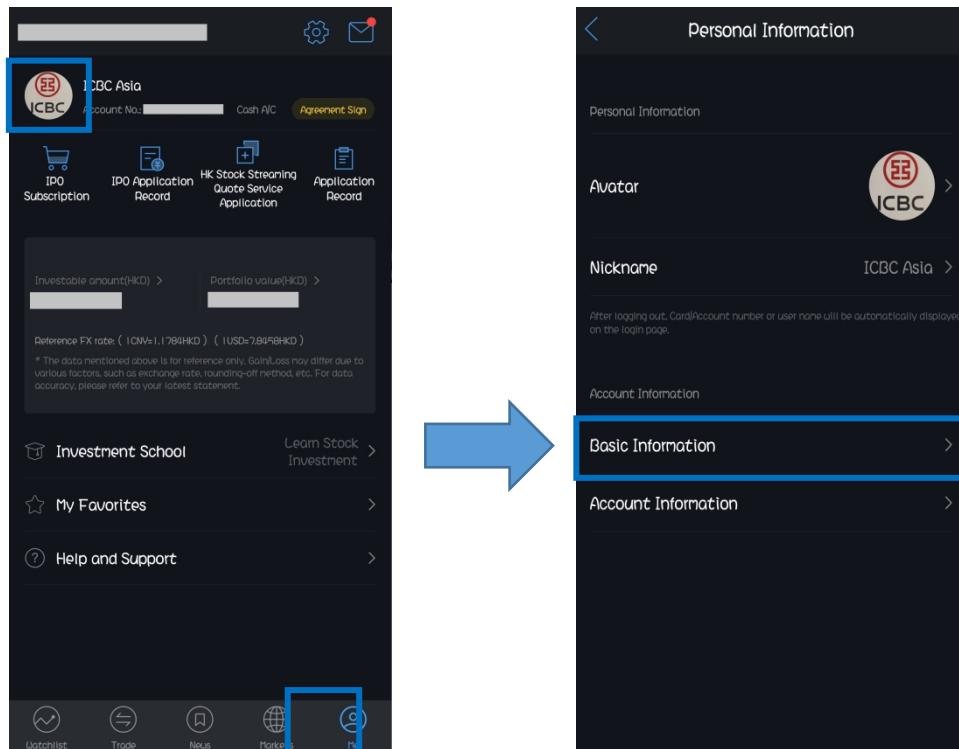
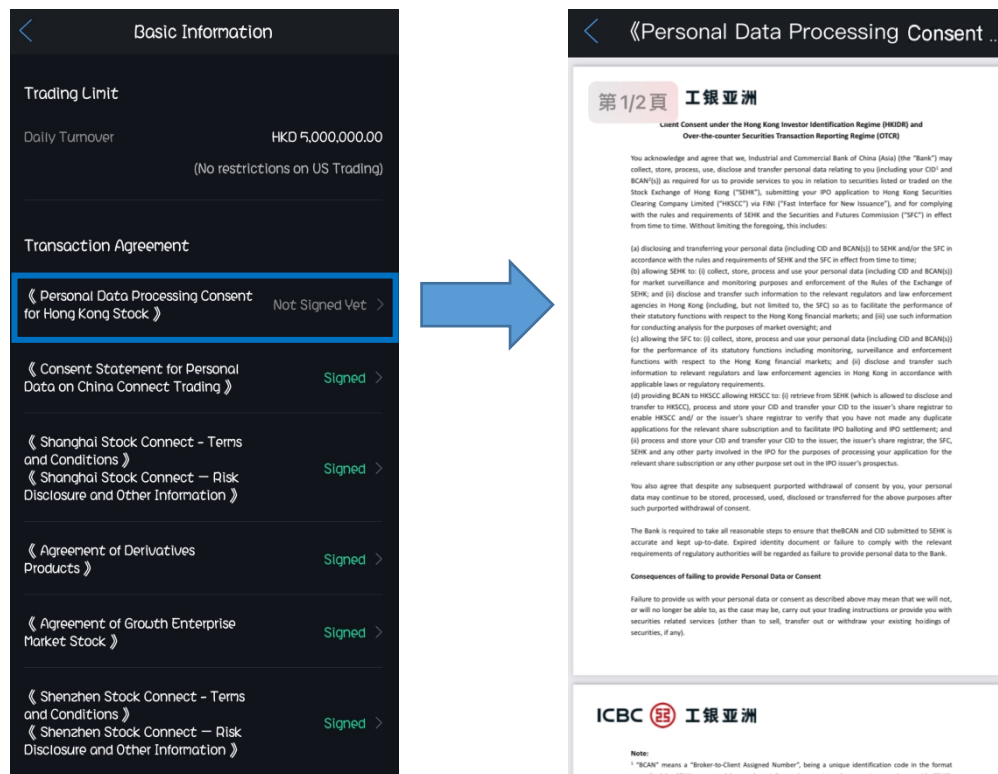


Signing Process of “Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime”

Step 1: Log in to the ICBC Smart Invest APP, select "Me", click "Avatar", and then select “Basic Information”.



Step 2: Click "Personal Data Processing Consent for Hong Kong Stock" to open and read the contents of "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime"



Step 3: Click "[I confirm that I have read and understood.](#)" if you agree to the "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime".

Please read to the bottom of the Consent

《Personal Data Processing Consent for Hong Kong Stock》

ICBC 工銀亞洲

Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)

You acknowledge and agree that we, Industrial and Commercial Bank of China (Asia) (the "Bank") may collect, store, process, use, disclose and transfer personal data relating to you (including your CIO and BCAN(s)) as required for us to provide services to you in relation to securities listed or traded on the Stock Exchange of Hong Kong ("SEHK"), submitting your IPO application to Hong Kong Securities Clearing Company Limited ("HKSCC") via FRI ("Fast Interface for New Issuance"), and for complying with the rules and requirements of SEHK and the Securities and Futures Commission ("SFC") in effect from time to time. Without limiting the foregoing, this includes:

(a) disclosing and transferring your personal data (including CIO and BCAN(s)) to SEHK and/or the SFC in accordance with the rules and requirements of SEHK and the SFC in effect from time to time;

(b) allowing SEHK to: (i) collect, store, process and use your personal data (including CIO and BCAN(s)) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange of SEHK; and (ii) disclose and transfer such information to the relevant regulators and law enforcement agencies in Hong Kong (including, but not limited to, the SFC) so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets; and (iii) use such information for conducting analysis for the purposes of market oversight; and

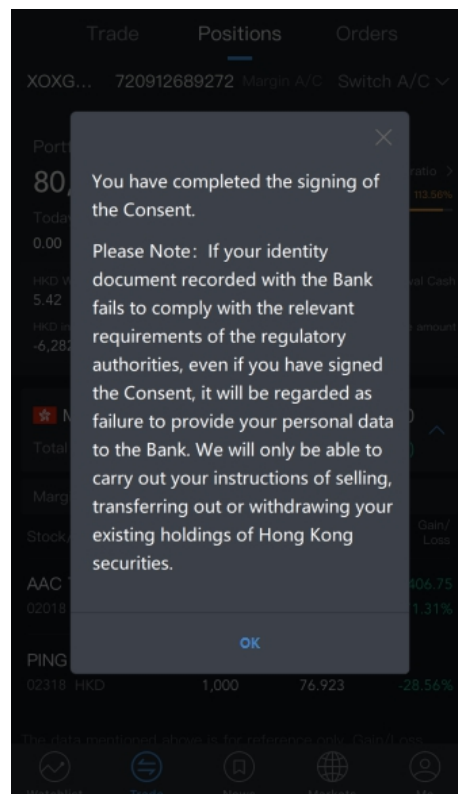
(c) allowing the SFC to: (i) collect, store, process and use your personal data (including CIO and BCAN(s)) for the performance of its statutory functions including monitoring, surveillance and enforcement functions with respect to the Hong Kong financial markets; and (ii) disclose and transfer such information to relevant regulators and law enforcement agencies in Hong Kong in accordance with applicable laws or regulatory requirements.

(d) providing BCAN to HKSCC allowing HKSCC to: (i) retrieve from SEHK (which is allowed to disclose and

I confirm that I have read and understood the content of "Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)", I agree to ICBCA's use of my personal data on the terms of and for the purposes set out in this Consent.

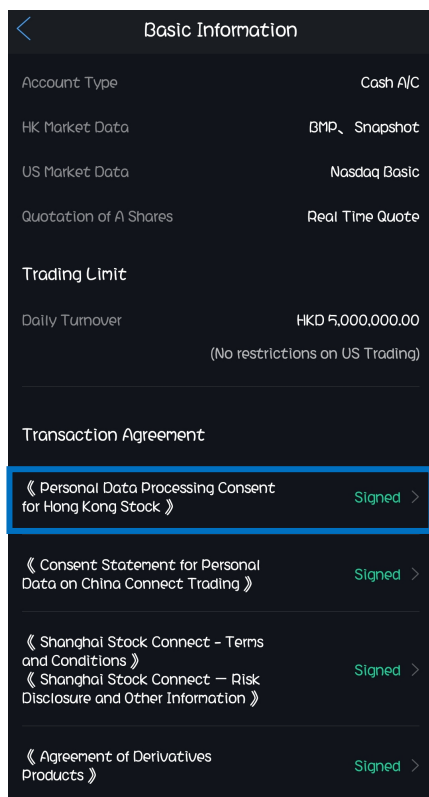
[I confirm that I have read and understood](#)

Step 4: After the signing is completed, the system will display "You have completed the signing of the Consent".



Please Note: If your identity document recorded with the Bank fails to comply with the relevant requirements of the regulatory authorities, even if you have signed the Consent, it will be regarded as failure to provide your personal data to the Bank. We will only be able to carry out your instructions of selling, transferring out or withdrawing your existing holdings of Hong Kong securities.

If you would like to enquire about the signing status of the "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime"(online consent), you can also check with the "Basic Information" page at any time, and the relevant Consent will be displayed as "Signed".



The screenshot displays a mobile application interface titled "Basic Information". It contains several sections: "Account Type" (Cash A/C), "HK Market Data" (BMP, Snapshot), "US Market Data" (Nasdaq Basic), "Quotation of A Shares" (Real Time Quote), "Trading Limit" (Daily Turnover: HKD 5,000,000.00, with a note "(No restrictions on US Trading)"), and "Transaction Agreement". Under the "Transaction Agreement" section, there are five items, each with a "Signed" status and a right-pointing arrow: "« Personal Data Processing Consent for Hong Kong Stock »", "« Consent Statement for Personal Data on China Connect Trading »", "« Shanghai Stock Connect – Terms and Conditions »", "« Shanghai Stock Connect – Risk Disclosure and Other Information »", and "« Agreement of Derivatives Products »". The first item is highlighted with a red border.

Section	Item	Status
Transaction Agreement	« Personal Data Processing Consent for Hong Kong Stock »	Signed >
	« Consent Statement for Personal Data on China Connect Trading »	Signed >
	« Shanghai Stock Connect – Terms and Conditions »	Signed >
	« Shanghai Stock Connect – Risk Disclosure and Other Information »	Signed >
	« Agreement of Derivatives Products »	Signed >

Risk Disclosure

Risk of Securities Trading:

Investment involves risk and the prices of securities products fluctuate. The prices of securities may move up or down, sometimes dramatically, and may become valueless. It is as likely that loss will be incurred rather than profit made as result of buying and selling investment.

Important Notice

The above risk disclosure statements cannot disclose all the risks involved. If you would like to get a full risk disclosure statements, please visit any branch of the Industrial and Commercial Bank of China (Asia) ("Bank") for enquiries.

Before making investment decision, you should thoroughly study the offering documents; the financial reports and relevant risk disclosure statements issued by the issuer of the investment product(s). Further you should consider your own circumstances and financial position to ensure the investment are suitable for your particular investment needs. You should seek independent financial and professional advice before trading or investment. This material does not constitute an offer for the purchase or sales of any investment products. This material is issued by Industrial and Commercial Bank of China (Asia) Limited and the contents have not been reviewed by the Securities and Futures Commission of Hong Kong.

For details, please visit any of our branches. We are delighted to provide you with further information. You may also call our customer service hotline at 218 95588 or browse our website www.icbcasia.com