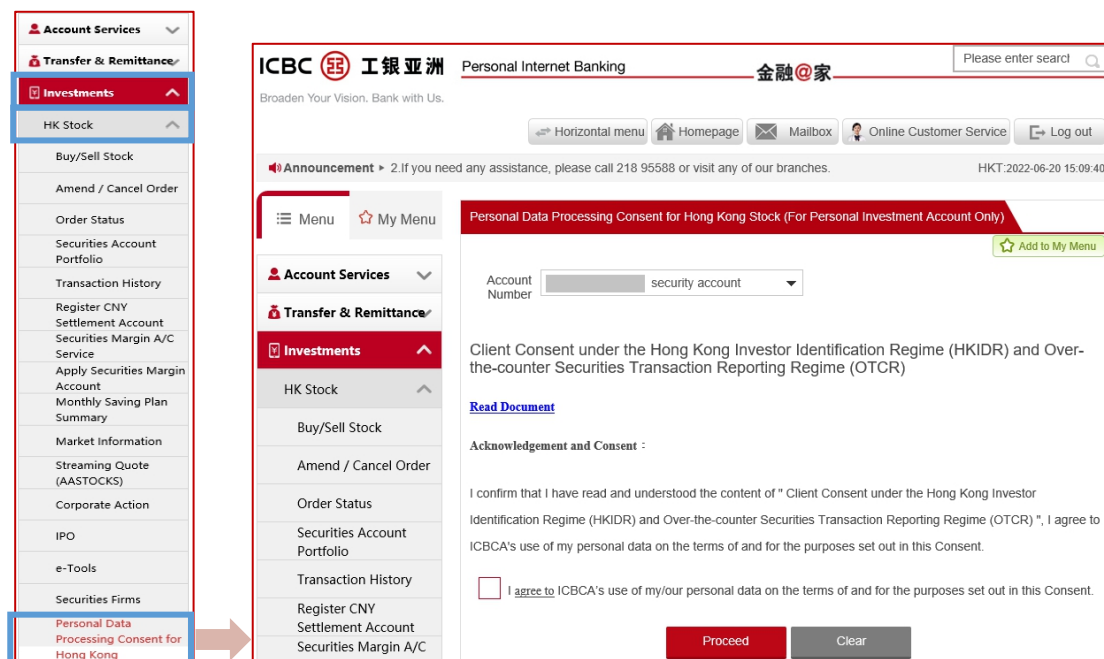
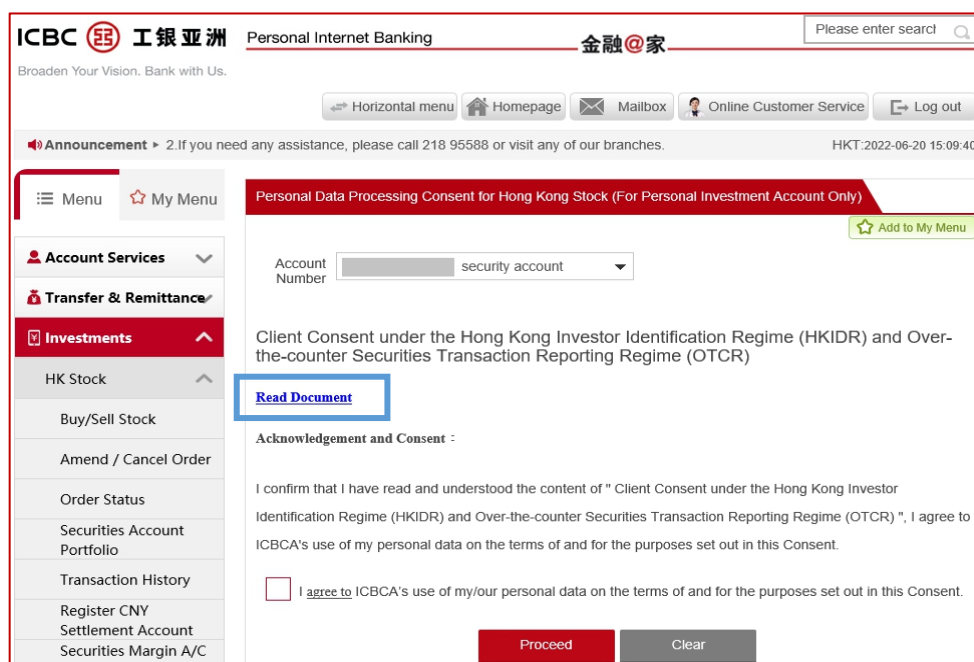


Signing Process of “Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime”

Step 1: Log in to the Personal Internet Banking, click "Investments", select "HK Stock", and then select "Personal Data Processing Consent for Hong Kong Stock".



Step 2: Click "Read Document" to open and read the contents of "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime".





(a) disclosing and transferring your personal data (including CID and BCAN(s)) to SEHK and/or the SFC in accordance with the rules and requirements of SEHK and the SFC in effect from time to time; and

(b) allowing SEHK to: (i) collect, store, process and use your personal data (including CID and BCAN(s)) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange of SEHK; and (ii) disclose and transfer such information to the relevant regulators and law enforcement agencies in Hong Kong (including, but not limited to, the SFC) so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets; and (iii) use such information for conducting analysis for the purposes of market oversight; and

工银亚洲

Personal Internet Banking

金融@家

Please enter search

an Your Vision. Bank with Us.

Horizontal menu
Homepage
Mailbox
Online Customer Service
Log out

announcement ▶ 2.If you need any assistance, please call 218 95588 or visit any of our branches.
HKT:2022-06-20 15:09:40

Menu
★ My Menu

Account Services

Transfer & Remittance

Investments

HK Stock

Buy/Sell Stock

Amend / Cancel Order

Order Status

Securities Account Portfolio

Transaction History

Register CNY Settlement Account

Securities Margin A/C

Personal Data Processing Consent for Hong Kong Stock (For Personal Investment Account Only)

Add to My Menu

Account Number
security account

Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)

[Read Document](#)

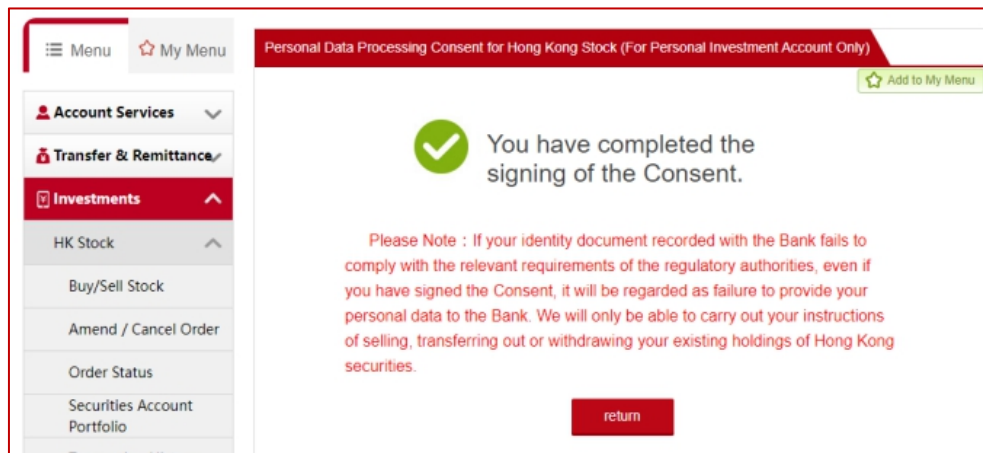
Acknowledgement and Consent :

I confirm that I have read and understood the content of " Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR) ", I agree to ICBCA's use of my personal data on the terms of and for the purposes set out in this Consent.

☒ I [agree to](#) ICBCA's use of my/our personal data on the terms of and for the purposes set out in this Consent.

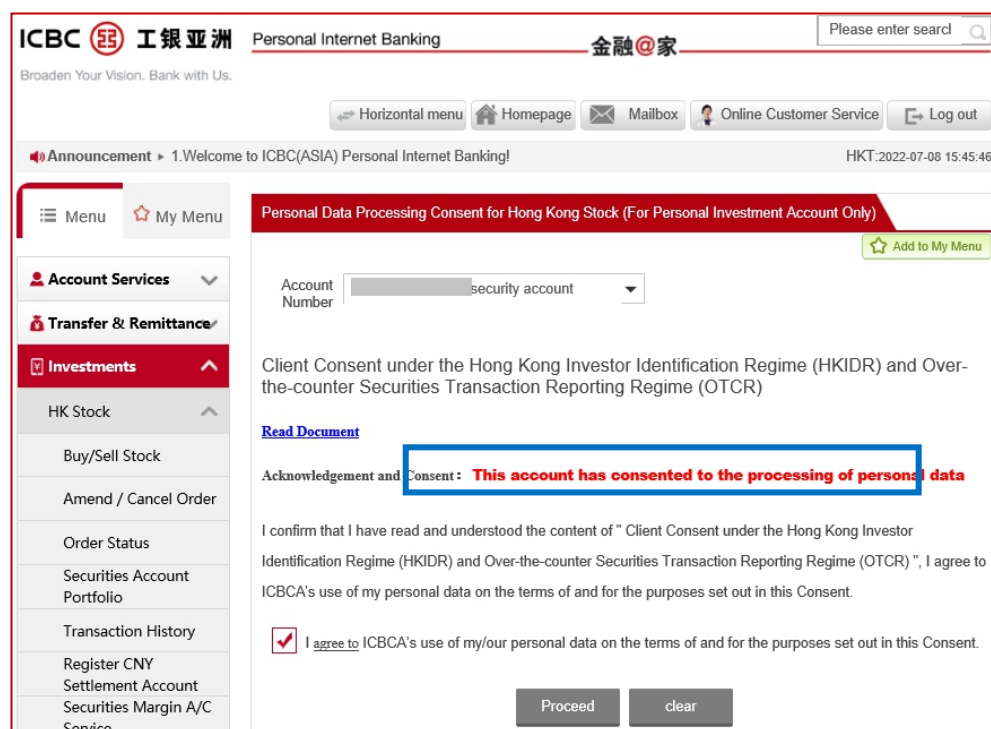
Proceed
Clear

Step 4: After the signing is completed, the system will display "You have completed the signing of the Consent".



Please Note: If your identity document recorded with the Bank fails to comply with the relevant requirements of the regulatory authorities, even if you have signed the Consent, it will be regarded as failure to provide your personal data to the Bank. We will only be able to carry out your instructions of selling, transferring out or withdrawing your existing holdings of Hong Kong securities.

If you would like to enquire about the signing status of the "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime"(online consent), you can also check with the "Personal Data Processing Consent for Hong Kong Stock" page at any time, and the relevant page will be displayed as "This account has consented to the processing of personal data".



Risk Disclosure**Risk of Securities Trading:**

Investment involves risk and the prices of securities products fluctuate. The prices of securities may move up or down, sometimes dramatically, and may become valueless. It is as likely that loss will be incurred rather than profit made as result of buying and selling investment.

Important Notice

The above risk disclosure statements cannot disclose all the risks involved. If you would like to get a full risk disclosure statements, please visit any branch of the Industrial and Commercial Bank of China (Asia) ("Bank") for enquiries.

Before making investment decision, you should thoroughly study the offering documents; the financial reports and relevant risk disclosure statements issued by the issuer of the investment product(s). Further you should consider your own circumstances and financial position to ensure the investment are suitable for your particular investment needs. You should seek independent financial and professional advice before trading or investment. This material does not constitute an offer for the purchase or sales of any investment products. This material is issued by Industrial and Commercial Bank of China (Asia) Limited and the contents have not been reviewed by the Securities and Futures Commission of Hong Kong.

For details, please visit any of our branches. We are delighted to provide you with further information. You may also call our customer service hotline at 218 95588 or browse our website www.icbcasia.com