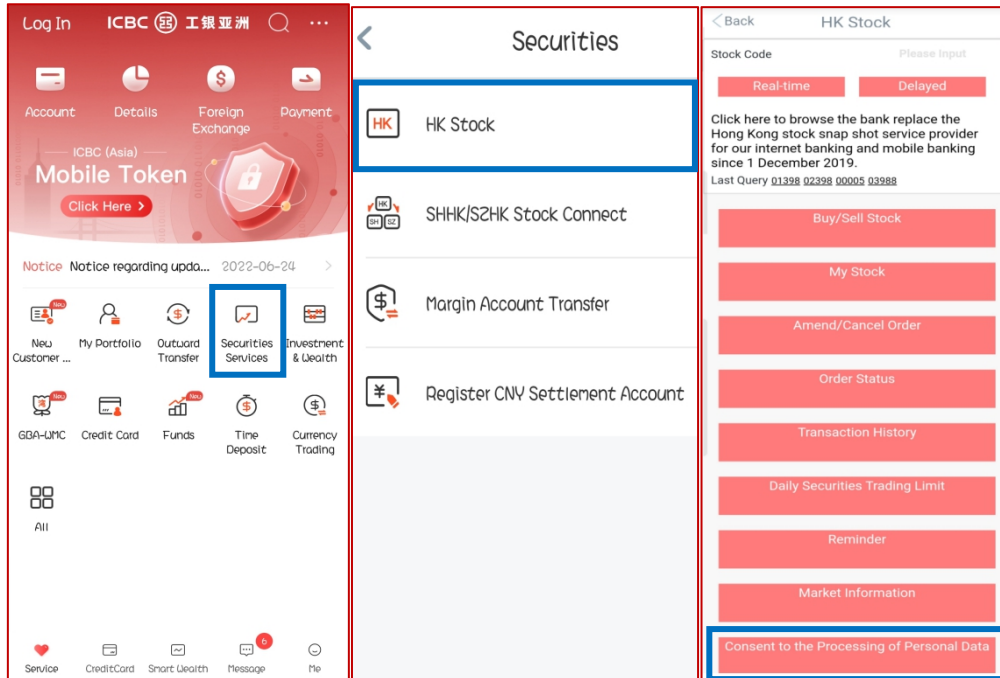


Signing Process of “Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime”

Step 1: Log in to the Personal Internet Banking, click "Securities Services", select "HK Stock", and then select “Consent to the Processing of Personal Data”.



Step 2: Click "Read Document" to open and read the contents of "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime"

Back Personal Investment Account Logout

Party A:
Industrial and Commercial Bank of China (Asia) Limited

Party B:

Account Number:

Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)

Consent: [Read Document](#)

Acknowledgement and Consent
I confirm that I have read and understood the content of "Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)", I agree to ICBC's use of my personal data on the terms of and for the purposes set out in this Consent.

☐ I agree to ICBC's use of my personal data on the terms of and for the purposes set out in this Consent.

Proceed

Clear

ICBC 工银亚洲

Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)

You acknowledge and agree that we, Industrial and Commercial Bank of China (Asia) (the "Bank") may collect, store, process, use, disclose and transfer personal data relating to you (including your CID¹ and BCAN²(s)) as required for us to provide services to you in relation to securities listed or traded on the Stock Exchange of Hong Kong ("SEHK"), submitting your IPO application to Hong Kong Securities Clearing Company Limited ("HKSCC") via FINI ("Fast Interface for New Issuance"), and for complying with the rules and requirements of SEHK and the Securities and Futures Commission ("SFC") in effect from time to time. Without limiting the foregoing, this includes:

(a) disclosing and transferring your personal data (including CID and BCAN(s)) to SEHK and/or the SFC in accordance with the rules and requirements of SEHK and the SFC in effect from time to time;

(b) allowing SEHK to: (i) collect, store, process and use your personal data (including CID and BCAN(s)) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange of SEHK; and (ii) disclose and transfer such information to the relevant regulators and law enforcement agencies in Hong Kong (including, but not limited to, the SFC) so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets; and (iii) use such information for conducting analysis for the purposes of market oversight; and

(c) allowing the SFC to: (i) collect, store, process and use your personal data (including CID and BCAN(s)) for the performance of its statutory functions including monitoring, surveillance and enforcement functions with respect to the Hong Kong financial markets; and (ii) disclose and transfer such information to relevant regulators and law enforcement agencies in Hong Kong in accordance with applicable laws or regulatory requirements.

(d) providing BCAN to HKSCC allowing HKSCC to: (i) retrieve from SEHK (which is allowed to disclose and transfer to HKSCC), process and store your CID and transfer your CID to the issuer's share registrar to enable HKSCC and/or the issuer's share registrar to verify that you have not made any duplicate applications for the relevant share subscription and to facilitate IPO balloting and IPO settlement; and (ii) process and store your CID and transfer your CID to the issuer, the issuer's share registrar, the SFC, SEHK and any other party involved in the IPO for the purposes of processing your application for the relevant share subscription or any other purpose set out in the IPO issuer's prospectus.

You also agree that despite any subsequent purported withdrawal of consent by you, your personal data may continue to be stored, processed, used, disclosed or transferred for the above purposes after such purported withdrawal of consent.

The Bank is required to take all reasonable steps to ensure that the BCAN and CID submitted to SEHK is accurate and kept up-to-date. Expired identity document or failure to comply with the relevant requirements of regulatory authorities will be regarded as failure to provide personal data to the Bank.

Consequences of failing to provide Personal Data or Consent

Failure to provide us with your personal data or consent as described above may mean that we will not, or will no longer be able to, as the case may be, carry out your trading instructions or provide you with securities related services (other than to sell, transfer out or withdraw your existing holdings of securities, if any).

Step 3: Back to Consent signing page, click "[I agree to ICBCA's use of my/our personal data on the terms of and for the purposes set out in this Consent.](#)" if you agree to the "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime", then click "[Proceed](#)".

[< Back](#) Personal Investment Account [Logout](#)

Party A:
Industrial and Commercial Bank of China (Asia) Limited

Party B:

Account Number:

Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)

Consent: [Read Document](#)

Acknowledgement and Consent
I confirm that I have read and understood the content of "Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)", I agree to ICBCA's use of my personal data on the terms of and for the purposes set out in this Consent.


☒ I **agree to** ICBCA's use of my personal data on the terms of and for the purposes set out in this Consent.

[Proceed](#)

[Clear](#)

Step 4: After the signing is completed, the system will display "You have completed the signing of the Consent".

[< Back](#) [Logout](#)

 **You have completed the signing of the Consent.**

Please Note : If your identity document recorded with the Bank fails to comply with the relevant requirements of the regulatory authorities, even if you have signed the Consent, it will be regarded as failure to provide your personal data to the Bank. We will only be able to carry out your instructions of selling, transferring out or withdrawing your existing holdings of Hong Kong securities.

[Main Menu](#)

Please Note: If your identity document recorded with the Bank fails to comply with the relevant requirements of the regulatory authorities, even if you have signed the Consent, it will be regarded as failure to provide your personal data to the Bank. We will only be able to carry out your instructions of selling, transferring out or withdrawing your existing holdings of Hong Kong securities.

If you would like to enquire about the signing status of the "Client Consent under the Hong Kong Investor Identification Regime and Over-the-counter Securities Transaction Reporting Regime"(online consent), you can also check with the "Consent to the Processing of Personal Data" page at any time, and the relevant page will be displayed as "This account has consented to the processing of personal data".

[< Back](#) [to the Processing of Perso](#) [Logout](#)

Party A:
Industrial and Commercial Bank of China (Asia) Limited

Party B:

Account Number:

This account has consented to the processing of personal data

Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)

Consent: [Read Document](#)

Acknowledgement and Consent
I confirm that I have read and understood the content of "Client Consent under the Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transaction Reporting Regime (OTCR)", I agree to ICBCA's use of my personal data on the terms of and for the purposes set out in this Consent.

☒ **I agree to** ICBCA's use of my personal data on the terms of and for the purposes set out in this Consent.

Risk Disclosure

Risk of Securities Trading:

Investment involves risk and the prices of securities products fluctuate. The prices of securities may move up or down, sometimes dramatically, and may become valueless. It is as likely that loss will be incurred rather than profit made as result of buying and selling investment.

Important Notice

The above risk disclosure statements cannot disclose all the risks involved. If you would like to get a full risk disclosure statements, please visit any branch of the Industrial and Commercial Bank of China (Asia) ("Bank") for enquiries.

Before making investment decision, you should thoroughly study the offering documents; the financial reports and relevant risk disclosure statements issued by the issuer of the investment product(s). Further you should consider your own circumstances and financial position to ensure the investment are suitable for your particular investment needs. You should seek independent financial and professional advice before trading or investment. This material does not constitute an offer for the purchase or sales of any investment products. This material is issued by Industrial and Commercial Bank of China (Asia) Limited and the contents have not been reviewed by the Securities and Futures Commission of Hong Kong.

For details, please visit any of our branches. We are delighted to provide you with further information. You may also call our customer service hotline at 218 95588 or browse our website www.icbcasia.com