

ICBCS AEol Self-Certification Tax Form

Part 1 – Identification of Account Holder

A. Legal Name of Entity/Branch	ICBC Standard Bank Plc
B. Country of Incorporation or organisation	United Kingdom
C. Current Residence Address	
Line 1 (e.g. House/Apt/Suite Name, Number, Street, if any)	20 Gresham Street
Line 2 (e.g. Town/City/Province/County/State)	London
Country	United Kingdom
Postal Code/ZIP Code (if any)	EC2V 7JE
D. Mailing Address (please only complete if different to the address shown in Section C above)	
Line 1 (e.g. House/Apt/Suite Name, Number, Street, if any)	
Line 2 (e.g. Town/City/Province/County/State)	
Country	
Postal Code/ZIP Code (if any)	

Part 2 – Entity Type

Please provide the Account Holder's status by ticking one of the following boxes.

1. (a) Financial Institution – Investment Entity

- i. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (Note: if ticking this box please also complete Part 2(2) below)
- ii. Other Investment Entity
- (b) Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company

If you have ticked (a) or (b) above, please provide, the Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes

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- (c) Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:

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Part 2 – Entity Type (continued)

1. (d) Active NFE – a Government Entity or Central Bank
- (e) Active NFE – an International Organisation
- (f) Active NFE – other than (c)-(e)
- (g) Passive NFE (Note: if ticking this box please also complete Part 2(2) below)

2 If you have ticked EITHER 1(a)(i) OR 1(g) above, then please:

a. Indicate the name of any Controlling Person(s) of the Account Holder:

b. Complete "Controlling Person form" for each Controlling Person. Please see the definition of Controlling Person in Appendix

Part 3 – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent* ("TIN") (see Appendix)

Please complete the following table indicating (i) where the Account Holder is tax resident and (ii) the Account Holder's TIN for each country/Reportable Jurisdiction indicated.

If the Account Holder is not tax resident in any country/jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 and provide its place of effective management or jurisdiction in which its principal office is located.

If a TIN is unavailable please provide the appropriate reason A or B where appropriate:

Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

	Country/Jurisdiction of tax residence	TIN	If no TIN available enter reason A or B
1.	United Kingdom	86410 44220	
2.			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.

1.

2.

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Part 4 – Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with you setting out how that Financial Institution may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise you within 30 days of any change in circumstances which affects the tax residency status of the Account Holder identified in Part 1 of this form or causes the information contained herein to become incorrect or incomplete (including any changes to the information on controlling persons identified in Part 2 question 2a), and to provide you with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.

Signature:



Print name:

Richard Fielder

Date: (dd/mm/yyyy)

16/01/2019

Note: Please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity:

Head of Compliance