

ICBC ASSET MANAGEMENT (GLOBAL) INVESTMENT FUNDS

Switching Form

Please return this form to the Trustee/Registrar by post or by fax.

**Please return this form to Trustee/Registrar**

**Trustee/Registrar:**

**ICBC (Asia) Trustee Company Limited**  
Hong Kong Room 1205-1213, 12/F  
Tower One, The Harbourfront  
18 Tak Fung Street, Hung Hom  
Kowloon, Hong Kong  
Attention: CUD-Transfer Agent  
Fax No.: +852 2267 1780  
Email: transferagent@icbcasia.com

**Further information can be obtained from the Manager**

**Manager**

**ICBC Asset Management (Global) Company**  
Unit 2507-2510, 25/F, ICBC Tower  
3 Garden Road, Central  
Hong Kong  
  
Telephone No.: +852 3510 0800  
Fax No.: +852 2537 3433  
Email: enquiry@icbcamg.com

**By completing and signing this switching form, I/we hereby irrevocably apply to switch my Units in the sub-fund as set out in this switching form ("Sub-Fund") in accordance with the Explanatory Memorandum ("Explanatory Memorandum") of ICBC Asset Management (Global) Investment Funds ("Fund") and the Product Key Facts Statement of the Sub-Fund.**

1. This form is applicable to existing Unitholders of the Sub-Fund only. Please read the latest Explanatory Memorandum of the Fund and the Product Key Facts Statement of the Sub-Fund before completing this form.
2. Complete this form in **BLOCK CAPITAL LETTERS** by typing it, or by handwriting it in blue or black pen ink. Do not use correction fluid/tape or pencil. Please initial all corrections you make to this form. Complete one form for each Unitholders (other than in the case of joint Unitholders). Your application will not be processed if this form is completed incorrectly.
3. Please keep a copy of this form for your records.
4. The Manager and/or the Trustee/Registrar may require additional information or documentation in relation to this form to be provided upon request before any application for the switching of Units in the Sub-Fund is approved. For added protection, the Manager and/or the Trustee/Registrar may at its absolute discretion contact you to confirm any switching instructions.
5. **Completed forms must be sent to the Trustee/Registrar by post to its business address, or by fax to its fax number shown above.** Please note that the Manager or the Trustee may, in its absolute discretion, determine whether or not duly signed original applications are also required in respect of notice for switching sent by fax or by electronic means.
6. Completed forms should be received by the Trustee/Registrar prior to the Dealing Deadline (i.e. 1:00 p.m. (Hong Kong time) on each Dealing Day). Switching applications received before the Dealing Deadline of that Dealing Day will be dealt with on that Dealing Day. Applications received after the Dealing Deadline will be dealt with on the next Dealing Day.
7. Please contact the Manager if you require assistance in completing this form.
8. The Chinese translation of this form is for reference only. If there are inconsistencies between the English version and the Chinese translation, the English version shall prevail to the extent of inconsistencies.
9. Unless otherwise specified, definitions in this form have the meanings given in the latest version of the Explanatory Memorandum.
10. Where the Unitholder is a financial institution, broker or other person applying to switch Units in the Sub-Fund on behalf of its individual client(s) the Unitholder represents and warrants by signing this form that it has full power and authority on behalf of its individual client(s) to apply to switch Units in the Sub-Fund and to

execute any necessary documentation, including this form on behalf of such client(s).

## Section 1 Unitholder details

### Account information

Full name(s) in which the Units are registered

Investor account number

Legal form (for legal entity Unitholder only)

Date of birth/incorporation

I.D./passport or registration no.

Registered address (No. P.O. Box)

Mailing address (if different)

Telephone number

Email address

Fax number

## Section 2 Switching instructions

Please specify in the relevant column below the number of Units to be switched **OR** the amount to be switched (in the currency in which the relevant Class of Units is denominated):

Switch from				
	Name of Sub-Fund	Existing Class (A/B/C/M/I)*	Number of Units to be switched^	Amount to be Switched
1.	ICBC Asset Management (Global) HKD Money Market Fund		OR	
2.			OR	
3.			OR	

Switch into		
	Name of Sub-Fund	New Class (A/B/C/M/I)*
1.	ICBC Asset Management (Global) HKD Money Market Fund	
2.		
3.		

\* Minimum holding/redemption amount (Class A): HKD1

Minimum holding/redemption amount (Class B): HKD1

Minimum holding/redemption amount (Class C): HKD1

Minimum holding/redemption amount (Class M): HKD100

Minimum holding/redemption amount (Class I): HKD10

^ If you wish to switch your entire holding of Units in a Class of Units, write "ALL".

Switching of Units is subject to any restrictions set out in the latest Explanatory Memorandum of the Fund and the Product Key Facts Statement of the Sub-Fund.

Please note that Units shall not be switched during any period when the determination of the Net Asset Value of any relevant Sub-Fund is suspended.

### Section 3

### Acknowledgements and undertakings

By signing this form, the Unitholder (whose particulars are set out in Section 1 above) acknowledges and agrees that:

- (a) the acknowledgements and declarations made in this switching form submitted by the Unitholder apply to each and every switching or request made by the Unitholder in respect of the Sub-Fund;
- (b) the Unitholder has read and understood the latest Explanatory Memorandum relating to the Fund, the Product Key Facts Statement relating to the Sub-Fund and financial reports, if any. By switching Units in the Sub-Fund, the Unitholder agrees to be bound by the latest Explanatory Memorandum of the Fund (including without limitation the fees and charges payable to the Manager) and the Trust Deed or other constitutive documents of the Fund, as amended from time to time;
- (c) the Manager may charge a Switching Fee in respect of each Unit of the new Class to be issued upon such switching of a percentage (as the Manager may at its absolute discretion determine) of up to 5% of the total amount being switched into. Please refer to the Explanatory Memorandum of the Fund and the Product Key Facts Statement of the Sub-Fund for the current level of Switching Fee. The Switching Fee shall be deducted from the amount reinvested into the sub-fund relating to Units of the New Class and shall be retained or paid to the Manager;
- (d) in the event that the Trustee/Registrar agrees to act on the Unitholder's instructions given by facsimile or other electronic means without requiring the original signed instructions to be received, the Unitholder confirms and acknowledges that:
  - (i) instructions given by facsimile or other electronic means are not secure means of communication, and internet communications are capable of being delayed or intercepted by third parties and their confidentiality, security and integrity cannot be guaranteed, and that the Unitholder is aware of the risk involved, and that its request to the Trustee/Registrar to accept such facsimile or other electronic instructions is for its convenience;
  - (ii) the Trustee/Registrar is hereby authorised to act on such facsimile or other electronic instruction which the Trustee/Registrar, at its sole discretion, believes to be provided by the Unitholder, the Trustee or Registrar shall not be required to act upon any facsimile or other electronic instruction which is received from unauthorised persons;
  - (iii) any transaction made pursuant to a facsimile or other electronic instruction acted upon in good faith

and in the absence of negligence or fraud shall be binding upon the Unitholder, whether made with or without its authority, knowledge or consent; and

- (iv) the Unitholder undertakes to keep the Trustee/Registrar indemnified at all times against, and to save the Trustee/Registrar harmless from, except to the extent of any fraud or negligence on the part of the Trustee/Registrar, all actions, proceedings, claims, losses, damages, costs and expenses which may be brought against the Trustee/Registrar or suffered or incurred by the Trustee/Registrar and which shall have arisen either directly or indirectly out of or in connection with the Trustee/Registrar's accepting such instructions which is purported to be given by the Unitholder and acting thereon, whether or not the same are confirmed in writing by the Unitholder;
- (e) by switching Units in the Sub-Fund and signing this form, the Unitholder unconditionally agrees to abide by the provisions of this form submitted by the Unitholder; and
- (f) the investment decision(s) detailed in this form and in any subsequent orders for the Sub-Fund from time to time I/we place with the Manager are my/our own and have not been made based on any advice or recommendation from the Manager or the Trustee.

#### Section 4

#### Signature(s)

I/We confirm that:

- (a) I/we have read all of this form and have the capacity, power and authority to enter into and perform my/our obligations under this switching form, the latest Explanatory Memorandum of the Fund and the Product Key Facts Statement of the Sub-Fund; and
- (b) the Manager and Trustee are entitled to rely on my/our signature(s) in this switching form without further verification.

#### First Unitholder

Print name: \_\_\_\_\_

/ /

Signature of Unitholders, Unitholder's agent, Unitholder's authorised representative or person acting on behalf of a minor

Date (day/month/year)

#### Joint Unitholder (if applicable)

Print name: \_\_\_\_\_

/ /

Signature of joint Unitholders, joint Unitholder's agent, joint Unitholder's authorised representative or person acting on behalf of a minor

Date (day/month/year)

#### Notes:

- In the case of joint Unitholders, all Unitholders must sign, unless the joint Unitholders have given written instructions to the Trustee/Registrar specifying that one of them shall be authorised to sign this form.
- In the case of a corporation, a duly authorised officer must sign.
- In the case of partnership, a duly authorised partner must sign.
- In the case of a trust, all trustees must sign.