

Tariffs of Bank ICBC (JSC) for depository activities

No.	Services	Tariff ¹ (not subject to VAT)
1.	Administrative operations	
1.1.	Opening the securities account:	300 rubles To be charged per operation
1.2.	Opening the securities subaccount on behalf of the Depositor	150 rubles To be charged per operation
1.3.	Opening of the nominal holder securities subaccount of Bank ICBC (JSC) in NSD or nominal holder securities account of Bank ICBC (JSC) in NSD on behalf of the Depositor	300 rubles Charged to the Depositor for the opening of each subaccount
1.4.	Servicing of the securities account of the Depositor in case of opening of the nominal holder securities subaccount of Bank ICBC (JSC) in NSD or nominal holder securities account of Bank ICBC (JSC) in NSD on behalf of the Depositor	150 rubles Charged to the Depositor on a monthly basis
1.5.	Closing of securities accounts or securities subaccounts	Commissions shall not be charged
1.6.	Modification of the questionnaire details of Depositor, Depositor's authorized representative	Commissions shall not be charged
2.	Storage and registration of securities	
2.1.	Storage and/or accounting of securities in foreign or international accounting systems	0.03% but not less than 100 rubles Charged on a monthly basis in % per annum of the average value of the securities holding.
No.	Services	Tariff (not subject to VAT)

¹Fee is made according to the order provided by Chapter 19 of Terms and Conditions

2.2.	Storage and/or accounting of securities in registrars or in correspondent depositories that are residents of the Russian Federation, except for the cases specified in Clauses 2.3, 2.4, 2.5 of these tariffs	Commissions shall not be charged
2.3.	Storage and/or accounting in NSD of securities that do not have a market price – shares, investment units, mortgage participation certificates, depository receipts, for a Depositor that is a legal entity	300 rubles for issue, but no more than 15,000 rubles Fee charged on a monthly basis for each issue of securities on securities account
2.4.	Storage and/or registration in NSD of securities with no market price for the Depositor that is an individual	Commissions shall not be charged
2.5.	Non-issue-grade certificated securities storage and accounting	0.1%, but not less than 300 rubles Charged on a monthly basis in % per annum of the average value of the securities holding.
3.	Inventory operations	
3.1.	Receipt of securities for storage and accounting	
3.1.1.	Depositing and/or registration of non-certificated securities and issue certificated securities with mandatory centralized storage	150 rubles To be charged per operation
3.1.2.	Acceptance of non-issue-grade and issue-grade certificated securities for storage and/or accounting, except for those listed in Clause 3.1.1 of these tariffs	50 rubles To be charged per security
3.2.	Removal of securities from storage and accounting	
3.2.1.	Withdrawal from storage and/or accounting of non-certificated securities and issue-grade certificated securities with mandatory centralized storage	700 rubles To be charged per operation
No.	Services	Tariff (not subject to VAT)

3.2.2.	Withdrawal from storage and/or accounting of non-issue-grade and issue-grade certificated securities, except for those listed in Clause 3.2.1 of these tariffs	150 rubles To be charged per security
3.3.	Transfer of securities on securities accounts or securities subaccounts. Relocation (change of place of securities storage).	
3.3.1.	Transfers of securities between security accounts opened with the Depository of Bank ICBC (JSC)	150 rubles To be charged per operation
3.3.2.	Transfer of securities through subaccounts of one securities account on behalf of the Depositor	Commissions shall not be charged
3.3.3.	Relocation (change of place of storage) of issue-grade non-certificated securities and issue-grade certificated securities with mandatory centralized storage	850 rubles To be charged per operation
3.3.4.	Relocation (change of place of storage) of certificated securities, except for those listed in Clause 3.3.3 of this tariff	200 rubles To be charged per operation
4.	Registration of encumbrance of securities with obligations	
4.1.	Registration of encumbrance of securities with obligations	300 rubles To be charged per operation
4.2.	Register of termination of encumbrance of securities with obligations	300 rubles To be charged per operation
5.	Information operations ²	
No.	Services	Tariff (not subject to VAT)

² The commissions specified in Section 5 shall be charged also for reports (statements) provided to the customer on the securities account closed.

5.1	Securities account report (statement) in paper form or securities subaccount report (statement), Report on the execution of administrative operation under the Depository order for the Depositor that is an individual	50 rubles ³ To be charged per operation
5.2.	Securities account report (statement) in paper form or securities subaccount report (statement) under the Depository order for the Depositor that is a legal entity	100 rubles To be charged per operation
5.3.	Issuance at the Depositor's request of copies of the documents, including scanned copies	30 rubles To be charged per sheet
5.4.	Statement (or report) of the registrar or the correspondent Depository provided at the request of the Depositor in respect of securities of the depositor on the account of the nominee of Bank ICBC (JSC)	300 rubles Charged per statement
6.	Corporate actions	
6.1.	Acceptance and processing of applications and orders of Depositors for participation in corporate action. General meeting of holders of securities for legal entities	1500 rubles Charged per application (order) on voting for each package of securities at the place of storage
6.2.	Acceptance and processing of applications and orders of Depositors for participation in corporate action. General meeting of owners of securities for individuals	750 rubles Charged per application (order) on voting for each package of securities at the place of storage
6.3.	Receipt and processing of Depositors' applications for participation in the corporate actions of the issuer of the securities with the accompanying documents, except as specified in Clauses 6.1 and 6.2 of these tariffs	1500 rubles Charged per application (set of attached documents) for each package of securities at the place of storage
7.	Order cancellation	
No.	Services	Tariff (not subject to VAT)
7.1.	Depositor's order cancellation	300 rubles To be charged per operation

³ The commission shall not be charged for the initial provision of a report on the depository operation (operations) performed on the securities account and Report on the execution of administrative operation

8.	Ensuring the exchange of information via SWIFT communication channels	
8.1.	Ensuring the exchange of information via SWIFT communication channels	500 rubles + 30 rubles for each securities account, on which the exchange of documents via SWIFT communication channels is arranged. To be charged on a monthly basis, regardless of the volume of information exchange

1. If it is specified in the Tariff column that payment is to be charged per operation, the fee is calculated per operation performed in respect of each issue of securities.

2. In addition to the tariff, an amount is charged equal to the amount of the actual expenses of Bank ICBC (JSC) suffered in fulfillment of its obligations to the Depositor (compensation for the actual expenses of Bank ICBC (JSC)).

3. The remuneration amount calculated in accordance with the tariffs shall be rounded to kopecks. According to the separate arrangement with Clients Bank ICBC (JSC) on paid basis the additional services which are not provided by these Tariffs can be rendered.

4. If the operation is performed via the centralized clearing and settlement system of Moscow Stock Exchange due to the settlement of an operation performed by Bank ICBC (JSC) as a broker at the Depositor's expense, no remuneration is charged on the tariffs of securities storage and withdrawal from storage.

5. Fee for storage and/or registration of securities specified in Clauses 2.1 and 2.5 of these tariffs is charged as a percentage of the securities holding average value determined separately for the issue-grade non-certificated securities denominated in rubles and foreign currency, for the issue-grade certificated and non-issue-grade securities.

6. The average cost of a package of securities in the securities account is determined by the aggregate amount of packages of all issues of securities recorded in the reporting month.

The average cost of package of securities in the securities account for charging for storage is calculated using the formula:

$$C_{cp.} = \frac{\sum_{n=1}^M \sum_{i=1}^N (C_{ni} * K_{\text{руб р.}})}{N}$$
, where C_{ni} is the appraised value of the securities n in ruble or currency equivalent as of the end of the corresponding (i^{th}) calendar day of the billing period;

$K_{\text{руб р.}}$ is the currency rate of the appraised value of the securities in the Russian rubles set by the Bank of Russia for the last calendar date of the billing period, according to the official website of the Bank of Russia. For the Russian rubles, the exchange rate is taken equal to 1;

N – number of calendar days in the billing period;

M – number of different issues (issue-grade securities) or pieces (non-issue-grade securities) recorded on the securities account at least one (1) day during the billing period.

Estimated value of securities is determined as the product of the number of securities at the end of the relevant calendar day of the billing month on the denomination of the securities.

In the absence of information on the par value of the securities, the appraised value is determined based on the market value of the securities according to Thompson Reuters information system. The appraised value of the securities being determined is expressed in Russian rubles.

In case of settlements in foreign currency, the appraised value of the securities is converted into the Russian rubles at the rate set by the Bank of Russia as of the date of settlement.