

**List of documents required for conclusion of a depository agreement
with an individual who is the citizen of the Russian Federation**

1. Original or notarized copy of identity document.
2. Customer questionnaire for an Individual (Annex 6 to the Terms and Conditions).
3. Original or notarized copy of TIN (Taxpayer Identification Number) assignment certificate (if any).
4. Original or notarized copy of a power of attorney in the name of the authorized representative of an individual relating to the right of signature of orders and other documents on behalf of the individual as well as the original or a notarized copy of the document confirming the identity of the authorized representative of the individual.
5. Consent to the processing of personal data and Consent to the cross-border transfer of personal data in the form of Bank ICBC (JSC). Posted on the Bank's website at: <http://www.icbcmoscow.ru>.
6. Other documents at the discretion of Bank ICBC (JSC).

Annex 2
to the 'Terms and Conditions
of Depository Activities of
Bank ICBC (JSC)'

**List of documents required for conclusion of a depository agreement
with an individual who is a foreign citizen or a person without nationality**

1. Customer's identity document issued by competent authorities of foreign states (to be provided with its notarized Russian translation).
2. A document confirming the right of a foreign citizen or stateless person to stay (reside) in the Russian Federation (migration card in the absence of other documents), if their availability is provided for by the legislation of the Russian Federation;
3. A document confirming the residence address of a foreign citizen or a stateless person (if any);
4. Customer questionnaire for an Individual (Annex 6 to the Terms and Conditions).
5. Original or notarized copy of TIN (Taxpayer Identification Number) assignment certificate (if any).
6. If the individual is a refugee, he/she shall provide the original or a notarized copy of certificate of consideration of application for refugee status, issued by the diplomatic or consular office of the Russian Federation or the immigration control post or a territorial body of the federal executive authority responsible for the migration service or a refugee certificate.
7. Original or notarized copy of a power of attorney in the name of the authorized representative of an individual relating to the right of signature of orders and other documents on behalf of the individual as well as the original or a notarized copy of the document confirming the identity of the authorized representative of the individual.
8. Consent to the processing of personal data and Consent to the cross-border transfer of personal data in the form of Bank ICBC (JSC). Posted on the Bank's website at: <http://www.icbcmoscow.ru>.
9. Other documents at the discretion of Bank ICBC (JSC).

Annex 3
to the 'Terms and Conditions
of Depository Activities of
Bank ICBC (JSC)'

**List of documents required for conclusion of a depository agreement
with a legal entity incorporated in accordance with the legislation of the
Russian Federation**

1. Customer Information Form (Legal Entity) (Annex 7 to the Terms and Conditions).
2. Original or notarized or certified by a registration authority copies of constituent documents of legal entity corresponding to the requirements of the legislation of the Russian Federation to the organizational and legal forms, as modified and amended, valid on the date of submission.
3. Original or notarized copy of certificate of entry into the Unified State Register of Legal Entities about the legal entity registered before July 1, 2002 (for organizations registered before July 1, 2002).
4. Original or notarized copy or copy certified by a competent authority of the certificate of state registration of legal entity or notarized copy of the certificate of an entry in the Uniform State Register of Legal Entities (for entities registered after January 1, 2017).
5. The original or notarized copies of certificates on modifications to the Unified State Register of Legal Entities on state registration of modifications to the constituent documents.
6. Original or notarized copy of the certificate of tax registration of a legal entity incorporated in accordance with the legislation of the Russian Federation, in the location in the territory of the Russian Federation or any other document issued by the tax authority in cases provided for under the legislation of the Russian Federation (if any).
7. Originals or notarized copies of licenses (permits) issued to the legal entity in the manner established by the legislation of the Russian Federation to engage in activities subject to licensing if such licenses are directly related to the customer's ability to conclude a relevant depository agreement.
8. originals or notarized copies of documents or copies of documents certified by the authorized person of the entity confirming the powers of the person acting on behalf of the legal entity without a power of attorney (orders of appointment and/or protocols of the relevant authorities on the election (appointment) to the position).
9. Original or notarized copy of the power of attorney executed in accordance with the Russian legislation for persons having the right to provide and receive documents to/from Bank ICBC (JSC).
10. Original of the notarizes sample signatures and seal card or a notarized copy of the notarizes sample signatures and seal card. The sample signatures and seal card does not have to be provided in the event that the depository agreement provides that orders on the Depositor's depo accounts shall be performed only with the use of an analogue of the manual signature.
11. Originals or notarized copies or copies certified by an authorized person of the entity of documents (resolutions, orders, directions) on provision of the right of signature to persons possessing the right of signature on behalf of the legal entity.

12. Originals or notarized copies of documents confirming the identity of persons entitled to act on behalf of the legal entity without a power of attorney and persons entitled to sign documents on behalf of the legal entity.

13. Originals or notarized copies of the powers of attorney executed in accordance with the legislation of the Russian Federation for persons entitled to sign documents on behalf of the legal entity.

14. Notarized copies of letters or copies of letters of the territorial division of the Bank of Russia printed from the official website of the Bank of Russia and certified by the legal entities as regards the approval or persons whose appointment to such positions is subject to such approval and possessing the right to sign depository orders and other documents on behalf of the legal entity (for credit institutions only).

15. Information (documents) on financial standing (provide any items listed below):

- Copies of annual accounting reporting (financial statements) (balance sheet, profit and loss statement);
- And/or copies of annual (or quarterly) tax reporting with notes of the tax authority on their acceptance or without such notes with a copy attached of the notice of delivery of registered mail with the list of enclosures (if sent by mail) or a copy of paper delivery confirmation (if sent electronically);
- And/or a copy of the auditor opinion on the annual report for the previous year, in which the fairness of the financial (accounting) reporting is confirmed as well as compliance of the accounting standards with the legislation of the Russian Federation;
- And/or a certificate of fulfillment by the taxpayer (levy payer, tax agent) of its obligation on payment of taxes, levies, penalties, fines issued by the tax authority;
- And/or information on the absence of any legal entity insolvency (bankruptcy) proceedings, effective resolutions of law courts on its insolvency (bankruptcy), any liquidation procedures as of the date of delivery of the documents to the credit institution;
- And/or notice of bank accounts held in other Russian and foreign banks issued by the tax authority and also, based on the said information, the information about lists No. 1, 2 of unpaid documents and outstanding loans issued by the Russian and foreign banks;
- And/or information on the rating of the legal entity in the Internet on the websites of international rating agencies (Standard & Poor's, Fitch-Ratings, Moody's Investors Service, etc.) and national rating agencies.

16. The financial standing of legal entities, the period of activity of which does not exceed three (3) months from the date of registration, shall be confirmed by:

- Information about the absence of a default by the entity on fulfillment of its financial obligations due to lack of funds in bank accounts;
- And/or information on the absence of any legal entity insolvency (bankruptcy) proceedings, effective resolutions of law courts on its insolvency (bankruptcy), any liquidation procedures as of the date of delivery of the documents to Bank ICBC (JSC);
- And/or certificate from the credit institution, in which the legal entity was previously or is currently in service, on accounts (deposits) opened with the credit institution, specifying the accounts balances (if any);
- Or written confirmation of absence of the aforesaid documents and their provision as they are received.

17. Information on the goodwill of the legal entity (in free form in writing, if available):

- Notes of other customers of Bank ICBC (JSC) having business relations with the legal

entity;

- And/or notes of other credit institutions the legal entity used to be serviced by with estimation by those credit institutions of the goodwill of the legal entity.

In the absence of the possibility of obtaining information about the goodwill of the legal entity in the form of the above documents, Bank ICBC (JSC) shall use the following documents in order to determine and verify the customer's business reputation:

- Feedback or other information on the goodwill of the legal entity in free form in writing, obtained from third parties (suppliers, partners, etc.);
- And/or information on the goodwill of the legal entity in free form in writing, provided by the entity being identified itself;
- And/or information on the goodwill in free form in writing received by Bank ICBC (JSC) from other legally available sources of information (Internet, etc.);
- Documents confirming the origin of funds and/or other property of the Customer; documents confirming the information on the place of performance or actual location of the legal entity, its permanent governing authority, other body or person entitled to act on behalf of the legal entity without a power of attorney (copies of documents confirming ownership of building, premises; lease (sublease) agreement and/or other documents confirming the location at the registered address of the legal entity or similar documents confirming the information on actual location of its permanent governing authority, other bodies), etc. (to be provided only by non-credit institutions).

18. Consent to the processing of personal data and Consent to the cross-border transfer of personal data in the form of Bank ICBC (JSC). Posted on the Bank's website at: <http://www.icbcmoscow.ru>.

19. Information on the legal entity accepted for servicing/serviced in Bank ICBC (JSC) made on form of Bank ICBC (JSC), or the information on the branch/representative office of the legal entity accepted for servicing/serviced in Bank ICBC (JSC) made on form of Bank ICBC (JSC) (for the branch/representative office of a legal entity), or the questionnaire of the Customer that is a financial market entity made on form of Bank ICBC (JSC) (for a credit institution) or made on form of the credit institution if such form contains all necessary information in accordance with the legislation of the Russian Federation, information on the beneficiary owner made on form of Bank ICBC (JSC), information on the individual that is the sole executive body of the legal entity made on form of Bank ICBC (JSC).

20. Other documents at the discretion of Bank ICBC (JSC).

21. To open an account for a branch and/or representative office of the legal entity, in addition to the documents specified above, the following documents shall be provided:

- Original or a notarized copy of the regulation on the branch (representative office) of a legal entity;
- Documents confirming the powers of the head of a branch (representative office) of the legal entity;
- Original or notarized copy of the notice on registration of the legal entity with the tax authority at the location of the branch (representative office) of the legal entity.

22. To open depository program depo account, the Depositor that is a foreign entity shall additionally provide a copy of a permission for placement and/or trading of issue-grade securities of Russian issuers outside the Russian Federation by placement under a foreign law of foreign issuers' securities confirming the rights as regards the issue-grade securities of

Russian issuers, issued under Article 16 of Federal Law No. 39-Φ3 On Securities Market dated April 22, 1996.

23. To open a foreign authorized depo account, the Depositor that is a foreign entity shall also provide:

- documents confirming that the foreign legal entity was incorporated in a member country of the Organization for Economic Co-operation and Development (OECD), a member or an observer of the Financial Action Task Force on Money Laundering (FATF), and/or member of the Committee of Experts on the Evaluation of Anti-Money Laundering Measures and the Financing of Terrorism (MONEYVAL), or a state, with appropriate authorities (appropriate organizations) of which the Bank of Russia concluded an agreement providing for a procedure for their interaction, and that such entity may, under its personal law and not being the owner of securities, perform in its name and on behalf of other persons any legal and actual operations with the securities, exercise rights to the securities;

- or application signed by an authorized representative of the Depositor confirming that the foreign entity may under its personal law, and not being the owner of securities, perform in its name and on behalf of other persons any legal and actual operations with the securities, exercise rights to the securities. Such application may be executed as a separate document or may be part of another document.

Annex 4
to the 'Terms and Conditions
of Depository Activities of
Bank ICBC (JSC)'

**List of documents required for conclusion of a depository agreement
with and individual entrepreneur or an individual engaged in private
practice in accordance with the legislation of the Russian Federation**

1. Customer's identity document.
2. Certificate of state registration of individual entrepreneur (original or notarized copy). Individual entrepreneurs registered before January 1, 2004, shall provide a copy of the certificate of entry in the Unified State Register of Individual Entrepreneurs before January 1, 2004 issued by an authorized federal executive body, or other documents prescribed by the legislation.
3. Information on the insurance number of the individual ledger account of a person insured in the mandatory pension insurance system (if any).
4. Certificate of registration with the tax authority (original, notarized copy) (if any, in the event of conclusion of a depository agreement with an individual engaged in private practice in the manner established under the legislation of the Russian Federation, the document is mandatory).
5. Extract from the Unified State Register of Individual Entrepreneurs, issued not earlier than thirty (30) days, original (if the updated data are not yet available on the Federal Tax Service website).
6. Licenses (patents) issued to an individual entrepreneur or a person engaged in private practice in the manner established under the legislation of the Russian Federation to perform activities subject to licensing (regulated by issuance of a patent) if such licenses (patents) are directly related to the customer's ability to conclude a depository agreement.
7. In the event of conclusion of a depository agreement with a notary public or a lawyer, the document shall be additionally provided confirming the powers of the notary public (appointment to the position) issued by the judicial bodies of the constituent subjects of the Russian Federation or the document confirming the lawyer's registration in the lawyer register and the document confirming the incorporation of the legal office, respectively.
8. Notarized sample signatures and seal card. The sample signatures and seal card does not have to be provided in the event that the depository agreement provides that orders on the Depositor's depo accounts shall be performed only with the use of an analogue of the manual signature.
9. Originals or notarized copies or copies certified by the customer of documents on provision of the right of signature to persons possessing the right of signature on behalf of the customer.
10. Originals or notarized copies of documents confirming the identity of persons entitled to act on behalf of the customer without a power of attorney and persons entitled to sign documents on behalf of the customer.
11. Originals or notarized copies of the powers of attorney executed in accordance with the legislation of the Russian Federation for persons entitled to sign documents on behalf of the customer.

12. Notice on assignment of codes of state statistical observation by the state statistical bodies certified by the individual entrepreneur or an individual engaged in private practice (if any).

13. Customer questionnaire for an Individual (to the Terms and Conditions).

14. Consent to the processing of personal data and Consent to the cross-border transfer of personal data in the form of Bank ICBC (JSC). Posted on the Bank's website at: <http://www.icbcmoscow.ru>.

15. Documents confirming the information on the customer's actual location (documents confirming ownership of buildings, premises, lease (sublease) agreements and/or other documents confirming the presence at the registration address or similar documents confirming the information on the customer's actual location).

16. Individual entrepreneur which is a foreign citizen or an individual engaged in private practice in the manner established under the legislation of the Russian Federation that is a foreign citizen, shall additionally provide the original or a copy certified by the customer's authorized person of the migration card and the original, a notarized copy or a copy certified by the customer's authorized person of the document confirming the right of the foreign citizen to stay in the Russian Federation (one of the below):

- residence permit;
- temporary residence permit;
- visa;
- any other document confirming the right of a foreign citizen or a person without citizenship to stay (residence) in the Russian Federation under the legislation of the Russian Federation.

17. Information (documents) on financial standing (provide any items listed below):

- Copy of annual accounting reporting as of the last reporting date (balance sheet, profit and loss statement) with notes of the tax authority on their acceptance or without such notes with the notice of delivery of registered mail with the list of enclosures (if sent by mail) or a copy of paper delivery confirmation (if sent electronically);
- And/or copies of annual (quarterly) tax reporting (for the last reporting dates) with notes of the tax authority on their acceptance or without such notes with a copy attached of the notice of delivery of registered mail with the list of enclosures (if sent by mail) or a copy of paper delivery confirmation (if sent electronically);
- And/or a copy of the auditor opinion on the annual report for the previous year, in which the fairness of the accounting (financial) reporting is confirmed, as well as compliance of the accounting standards with the legislation of the Russian Federation;
- And/or a certificate of fulfillment by the taxpayer (levy payer, tax agent) of its obligation on payment of taxes, levies, penalties, fines issued by the tax authority (issued not earlier than one (1) month);
- And/or information on the absence of any legal entity insolvency (bankruptcy) proceedings, effective resolutions of law courts on its insolvency (bankruptcy), any liquidation procedures as of the date of delivery of the documents to Bank ICBC (JSC);
- And/or notice of bank accounts held in other Russian and foreign banks issued by the tax authority and also, based on the said information, the information about lists No. 1, 2 of unpaid documents and outstanding loans issued by the Russian and foreign banks;
- And/or information on the rating of the legal entity in the Internet on the websites of international rating agencies (Standard & Poor's, Fitch-Ratings, Moody's Investors Service,

etc.) and national rating agencies.

18. The financial standing of individual entrepreneurs, the period of activity of which does not exceed three (3) months from the date of registration, shall be confirmed by:

- Information about the absence of a default by the individual entrepreneur on fulfillment of its financial obligations due to lack of funds in bank accounts;
- And/or information on the absence of any individual entrepreneur insolvency (bankruptcy) proceedings, effective resolutions of law courts on its insolvency (bankruptcy), any liquidation procedures as of the date of delivery of the documents to Bank ICBC (JSC);
- And/or certificate from the credit institution, in which the individual entrepreneur was previously or is currently in service, on accounts (deposits) opened with the credit institution, specifying the accounts balances (if any).

19. Information on goodwill (in free form in writing, if available):

- Notes of other customers of Bank ICBC (JSC) having business relations with the individual entrepreneur;
- And/or notes of other credit organizations the individual entrepreneur used to be serviced by with estimation by those credit organizations of the goodwill of the individual entrepreneur.

20. Information on the individual entrepreneur accepted for servicing or serviced in Bank ICBC (JSC) made on form of Bank ICBC (JSC), information on the beneficiary owner made on form of Bank ICBC (JSC).

21. Other documents at the discretion of Bank ICBC (JSC).

Annex 5
to the 'Terms and Conditions
of Depository Activities of
Bank ICBC (JSC)'

List of documents for conclusion of a depository agreement with a legal entity (stand-alone units of legal entities) incorporated in accordance with the legislation of a foreign state

1. Customer Information Form (Legal Entity) (Annex 7 to the Terms and Conditions).
2. Other documents confirming the legal status of the company in accordance with the legislation of the country, in which the legal entity was incorporated:
 - Constituent documents;
 - Documents confirming payment of state fee for the registration of a legal entity;
 - Extract from the commercial register (if available in accordance with the legislation of the country of registration) issued not earlier than six (6) months before the date of submission.
3. Documents confirming the powers of the sole executive body (the head) of the legal entity (the decision on appointment, order, etc.).
4. Power(s) of attorney granting relevant rights to authorized representatives of the entity (the right to sign documents on behalf of the entity, submit and receive documents, sign orders for operations on depo account and other documents, etc.).
5. Copies of identity documents of authorized representatives of the customers, properly certified.
6. Certificate of registration of a foreign company with the tax authority in the territory of the Russian Federation (if any).
7. Licenses (permits) issued to the legal entity to perform its activities subject to licensing (if any) if such licenses are related directly to the customer's ability to conclude the relevant depository agreement.
8. Permit of the national (central) bank of the foreign state if such permit is required for conclusion of a depository agreement in accordance with international treaties involving the Russian Federation.
9. Banking sample signatures and seal card (album of samples of signatures) or a document with the signatures and stamp, issued in accordance with the legislation of the foreign country; The sample signatures and seal card does not have to be provided in the event that the depository agreement provides that orders on the Depositor's depo accounts are performed only with the use of an analogue of the manual signature.
10. Documents confirming the powers of the persons specified in the banking sample signatures and seal card, or album of sample signatures, or a document with sample signatures and a seal issued in accordance with the laws of a foreign state (orders of appointment, orders and/or powers of attorney granting authority to sign).
11. Information (documents) on the financial standing of non-resident legal entities (any of the below at the customer's discretion):
 - A copy of the financial report for the last reporting period provided by a non-resident legal entity to the authorized state bodies at the place of registration or activity (in the absence of a financial report for the last reporting period, a financial report for the previous reporting period shall be provided) indicating information on a stately available source of information

containing the financial report (if available);

- And/or a copy of the auditor opinion on the annual report for the previous year, in which the fairness of the financial (accounting) reporting is confirmed, as well as compliance of the accounting standards with the legislation of the place of location (registration) of a legal entity;
- And/or certificate from the credit institution, in which the non-resident legal entity was previously or is currently in service, on accounts (deposits) opened with the credit institution, specifying the accounts balances;
- And/or copy of annual accounting reporting as of the last reporting date (balance sheet, profit and loss statement) with notes of the tax authority on their acceptance or without such notes with a copy of the notice of delivery of registered mail with the list of enclosures (if sent by mail) or a copy of paper delivery confirmation (if sent electronically);
- And/or copy of annual (quarterly) tax reporting (for the last reporting dates) with notes of the tax authority on their acceptance or without such notes with a copy attached of the notice of delivery of registered mail with the list of enclosures (if sent by mail) or a copy of paper delivery confirmation (if sent electronically);
- And/or a copy of the auditor opinion on the annual report for the previous year, in which the fairness of the financial (accounting) reporting is confirmed, as well as compliance of the accounting standards with the legislation of the Russian Federation;
- And/or a certificate of fulfillment by the taxpayer of its obligation on payment of taxes, levies, penalties, fines issued by the tax authority (issued not earlier than one (1) month);
- And/or information on the absence of any legal entity insolvency (bankruptcy) proceedings, effective resolutions of law courts on its insolvency (bankruptcy), any liquidation procedures as of the date of delivery of the documents to the credit institution;
- And/or notice of bank accounts held in other Russian and foreign banks issued by the tax authority and also, based on the said information, the information about lists No. 1, 2 of unpaid documents and outstanding loans issued by the Russian and foreign banks;
- And/or information on the rating of the legal entity in the Internet on the websites of international rating agencies (Standard & Poor's, Fitch-Ratings, Moody's Investors Service, etc.) and national rating agencies.

Legal entities whose operation period is less than three (3) months from the day of incorporation and does not permit presentation to Bank ICBC (JSC) of the above documents shall submit a written confirmation of unavailability of such documents and provide the documents as they are received.

12. Information on the goodwill of the legal entity (in free form in writing, if available):

- Notes of other customers of Bank ICBC (JSC) having business relations with the legal entity;
- And/or notes of other credit institutions the legal entity used to be serviced by with estimation by those credit institutions of the goodwill of the legal entity.

13. Consent to the processing of personal data and Consent to the cross-border transfer of personal data in the form of Bank ICBC (JSC). Posted on the Bank's website at: <http://www.icbcmoscow.ru>.

14. Authorized representative of the customer that is a foreign citizen or a person without nationality residing in the territory of the Russian Federation, shall, beside the identity document, provide the original, a notarized copy or a copy certified by the customer's authorized person of a document confirming the right of stay in the territory of the Russian Federation (residence permit, temporary residence permit, visa, any other document) as well as

the original or a copy certified by the customer's authorized person of the migration card.

15. Information on the legal entity accepted for servicing/serviced in Bank ICBC (JSC) made on form of Bank ICBC (JSC), or the information on the branch/representative office of the legal entity accepted for servicing/serviced in Bank ICBC (JSC) made on form of Bank ICBC (JSC) (for the branch/representative office of a legal entity), or the questionnaire of the Customer that is a financial market entity made on form of Bank ICBC (JSC) (for a credit institution), information on the beneficiary owner made on form of Bank ICBC (JSC), information on the individual that is the sole executive body of the legal entity made on form of Bank ICBC (JSC).

16. Other documents at the discretion of Bank ICBC (JSC), particularly, documents confirming the origin of funds and/or other property of the Customer; documents confirming the information on the place of performance (actual location) of the legal entity, its permanent governing authority, other body or person entitled to act on behalf of the legal entity without a power of attorney (documents confirming ownership of building, premises; lease (sublease) agreement and/or other documents confirming the location in the registered address of the legal entity or similar documents confirming the information on actual location of its permanent governing authority, other bodies), etc.

17. Non-resident legal entity that is a credit institution of the Member States of the FATF, shall have the right not to provide information on the beneficiaries, provided that such a non-resident legal entity, which is a credit institution, has a record of rating assigned by the international rating agency Moody's Investors Service, Standard & Poor's or Fitch Ratings, and/or is included in the international bank reference book Bankers Almanac (publishing house Reed Business Information, UK, using any edition of the reference book issued not earlier than the calendar year preceding the calendar year of the banking operation or other operation) or in the list (register of active credit institutions of the relevant foreign state).

18. In addition to the above documents, the branch (representative office) of a legal entity created in accordance with the legislation of the country of registration of the legal entity located in the territory of the Russian Federation shall provide the following documents:

- Regulation on branch (representative office) of a non-resident legal entity;
- Certificate of entry in the state register of accredited branches (representative offices) of foreign legal entities (with an information sheet);
- Certificate of registration of the legal entity with tax authorities at the location of its stand-alone unit;
- Documents confirming the powers of the head of a branch (representative office) of the non-resident legal entity.

19. To open a foreign nominee depo account, the Depositor that is a foreign entity shall also provide:

- Documents confirming that the foreign legal entity was incorporated in a member country of the Organization for Economic Co-operation and Development (OECD), a member or an observer of the Financial Action Task Force on Money Laundering (FATF), and/or member of the Committee of Experts on the Evaluation of Anti-Money Laundering Measures and the Financing of Terrorism (MONEYVAL), or a state, with appropriate authorities (appropriate organizations) of which the Bank of Russia concluded an agreement providing for a procedure for their interaction, and that such entity may perform registration and transfer of rights to securities under its personal law;
- Or an application signed by an authorized representative of the Depositor confirming

that the foreign entity may perform registration and transfer of rights to securities under its personal law. Such application may be executed as a separate document or may be part of another document.

Annex 6
to the 'Terms and Conditions
of Depository Activities of
Bank ICBC (JSC)'

Customer questionnaire for an Individual

(to be submitted to the Depository)

Last name, name, and (unless otherwise follows from the law or national practice) patronymic	
Date of birth	
Place of birth	
Nationality	
Address of the place of residence (registration)	
Address of the place of stay	
Mail address	
Contact telephone and fax numbers	
E-mail	
Taxpayer status	
Taxpayer Identification Number	
Details of the identity document:	
name	
series	
number	
date of issue	
authority, which issued the document	
unit code (if any)	
Details of the migration card (for non-resident individuals) (in the absence of other documents confirming the right of a foreign citizen or stateless person to stay (reside) in the Russian Federation):	
number	
start date of the period of stay	
end date of the period of stay	
The details of the document confirming the right of a foreign citizen or a person without citizenship to stay (residence) in the Russian Federation (for non-resident individuals):	
series (if any)	
number	
start date of the duration of the right of stay (residence)	
end date of the duration of the right of stay (residence)	
Information on registration as an individual entrepreneur ¹ :	
state registration number	
date of registration	
name of registration authority	
place of registration	
Information on the license for the activity subject to licensing:	
type	
number	
license issue date	
issued by	
term	
list of activities subject to licensing	
Banking accounts details:	
Settlements in rubles:	

¹the space shall be filled in by an individual registered as an individual entrepreneur

Beneficiary: Settlement account: Name of the bank, TIN/CRR: Correspondent account: BIC:			
Use these details for income transfer (tick appropriate box with X or V)		Yes	No
Other ruble details for income transfer			
Beneficiary: Settlement account: Name of the bank, TIN/CRR: Correspondent account: BIC:			
Settlements in foreign currency (U.S. dollars, euros, yuans (as applicable)):			
Beneficiary: Account of beneficiary: Name of the bank: Correspondent account of the bank: SWIFT:			
Use these details for income transfer (tick appropriate box with X or V)		Yes	No
Other details in foreign currency for income transfer			
Beneficiary: Account of beneficiary: Name of the bank: Correspondent account of the bank: SWIFT:			
Method of payment for depository services (tick appropriate box with X or V)			
☐ Customer's pre-authorization for debiting from the accounts opened with Bank ICBC (JSC)			
☐ Invoicing			
Document transfer form (tick appropriate box with X or V):			
☐ mail	☐ e-mail	☐ personally (via an authorized representative)	☐ SWIFT
Communications exchange form (tick appropriate box with X or V):			
☐ mail	☐ e-mail	☐ personally (via an authorized representative)	☐ SWIFT
Purpose of the questionnaire submission (tick appropriate box with X or V):			
☐ Primary filling up of the questionnaire		☐ Modification of the questionnaire details	
The questionnaire was submitted by (tick appropriate box with X or V):			
☐ Depositor		☐ Depositor's authorized representative	
Type of depo account (tick appropriate box with X or V):			
☐ Owner depo account		☐ Trading owner depo account	
Additional information			

Sample signature of authorized persons acting under the power of attorney:

No.	Full name	Signature sample	Power of Attorney
			No. ____ dated _____, 20__

**Sample of personal
signature:**



clarification of signature

Date of filling in the questionnaire _____, 20__

Приложение 7 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 7 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Анкета юридического лица/ Customer Information Form (Legal Entity)

(предоставляется в Депозитарий/ it is provided in Depository)

Полное и (в случае, если имеется) сокращенное наименование, в том числе наименование на иностранном языке/ Full and abbreviated (if any) company name including name in a foreign language	
Организационно-правовая форма/ Legal form	
Идентификационный номер налогоплательщика - для резидента/ Taxpayer identification number – for resident. Идентификационный номер налогоплательщика или код иностранной организации, присвоенный до 24 декабря 2010 года, либо идентификационный номер налогоплательщика, присвоенный после 24 декабря 2010 года, - для нерезидента. или код иностранной организации/ Taxpayer identification number or foreign company code assigned before December 24, 2010, or taxpayer identification number assigned after December 24, 2010 to a non-resident	
Регистрационный номер (ОГРН или номер, присвоенный иностранной структуре без образования юридического лица)/ Registration number (OGRN (Primary National Registration Number) or number assigned to a foreign structure without establishing a legal entity)	
Юрисдикция/ Jurisdiction	
Дата государственной регистрации/ Date of state registration	
Место государственной регистрации/ Place of state registration	
Наименование регистрирующего органа/ Name of registration authority	
LEI (Legal Entity Identifier)/ LEI (Legal Entity Identifier)	
Адрес местонахождения/ Location address	
Почтовый адрес/ Mailing address	
Место ведения основной деятельности (для иностранной структуры без образования юридического лица)/ Place of conducting main activities (for a foreign structure without establishing a legal entity)	
Коды форм федерального государственного статистического наблюдения (ОКПО, ОКАТО, ОКВЭД, иные)/ Federal state statistical monitoring form codes (OKPO, OKATO, OKVED, other)	
Номера контактных телефонов и факсов, SWIFT/ Contact telephone and fax numbers, SWIFT	
Адрес электронной почты, телекс/ E-mail, telex	
Реквизиты банковских счетов/ Banking accounts details:	
Расчеты в рублях/ Settlements in rubles:	
Получатель/ Beneficiary: Расчетный счет/ Settlement account: Наименование банка, ИНН/КПП/ Name of the bank, INN/KPP: Корреспондентский счет/ Correspondent account: БИК/ BIC:	

Использовать указанные реквизиты для перечисления доходов (нужное отметить знаком X или V)/ Use these details for income transfer (check as appropriate with X or V)	Да/ Yes	Нет/ No
Иные реквизиты в рублях для перечисления доходов/ Other ruble details for income transfer:		
Получатель/ Beneficiary: Расчетный счет/ Settlement account: Наименование банка, ИНН/КПП/ Name of the bank, INN/KPP: Корреспондентский счет/ Correspondent account: БИК/ BIC:		
Расчеты в иностранной валюте (в долларах США, в ЕВРО, в юанях (нужное указать))/ Settlements in foreign currency (US dollars, euros, yuan (as applicable)):		
Получатель/ Beneficiary: Счет получателя/ Account of beneficiary: Наименование банка/ Name of the bank: Корреспондентский счет банка/ Correspondent account of the bank: SWIFT:		
Использовать указанные реквизиты для перечисления доходов (нужное отметить знаком X или V)/ Use these details for income transfer (check as appropriate with X or V)	Да/ Yes	Нет/ No
Иные реквизиты в иностранной валюте для перечисления доходов/ Other details in foreign currency for income transfer		
Получатель/ Beneficiary: Счет получателя/ Account of beneficiary: Наименование банка/ Name of the bank: Корреспондентский счет банка/ Correspondent account of the bank: SWIFT:		
Регистрация в качестве профессионального участника рынка ценных бумаг (нужное отметить знаком X или V)/ Registration as a professional securities market participant (check as appropriate with X or V)	Да/ Yes	Нет/ No
Лицензии профессионального участника рынка ценных бумаг/ Licenses of a professional securities market participant ² :		
Наименование лицензии профессионального участника рынка ценных бумаг/ Type of the license of a professional securities market participant: Номер/ Number: дата выдачи/ date of issue: срок действия/ validity term: наименование регистрирующего органа/ name of registration authority:		
Статус как налогоплательщика (резидент или нерезидент)/ Taxpayer status (resident or non-resident)		
Наличие налоговых льгот (нужное отметить знаком X или V)/ Tax benefits (check as appropriate with X or V):	Да/ Yes	Нет/ No
Копии документов о налоговых льготах прилагаются на _____ листах/ Copies of documents on tax benefits are enclosed on _____ pages ³		
Способ оплаты депозитарных услуг (нужное отметить знаком X или V)/ Method of payment for depository services (check as appropriate with X or V):		
Заранее данный акцепт клиента на списание со счета, открытого в АйСиБиСи Банке (АО)/ Customer's pre-authorization for debiting from the account opened with Bank ICBC (JSC)		

² Графа заполняется в случае наличия лицензии профессионального участника рынка ценных бумаг/ To be filled in if a license of a professional securities market participant is available

³ Графа заполняется в случае наличия налоговых льгот/ To be filled in the event of tax benefits

Выставление счета/ Invoicing				
Способ передачи документов (нужное отметить знаком X или V)/ Document transfer form (check as appropriate with X or V):				
Почтой / mail	электронной почтой/ email	лично (через уполномоченного представителя)/ personally (via an authorized representative)	SWIFT	
Способ обмена информационными сообщениями (нужное отметить знаком X или V) Communications exchange form (check as appropriate with X or V):				
Почтой / mail	электронной почтой/ email	лично (через уполномоченного представителя)/ personally (via an authorized representative)	SWIFT	
Цель подачи анкеты (нужное отметить знаком X или V)/ Purpose of form submission (check as appropriate with X or V):				
Первичное оформление анкеты/ Primary filling up of the form		Изменение анкетных данных/ Modification of the form		
Вид счета депо (нужное отметить знаком X или V)/Type of depo account (check as appropriate with X or V)				
Счет депо владельца/ Owner depo account			Торговый счет депо владельца/ Trading owner depo account	
Счет депо номинального держателя/ Nominee depo account			Торговый счет депо номинального держателя/ Nominee trading depo account	
Счет депо иностранного номинального держателя/ Foreign nominee depo account			Торговый счет депо иностранного номинального держателя/ Foreign nominee trading depo account	
Счет депо депозитарных программ/ Depository program depo account				
Казначейский счет депо эмитента/ Issuer treasury depo account				
Счет депо доверительного управляющего/ Trustee depo account			Торговый счет депо доверительного управляющего/ Trustee trading depo account	
Счета депо иностранного уполномоченного держателя/ Foreign authorized holder's deposit depo account			Торговый счета депо иностранного уполномоченного держателя/ Foreign authorized holder's deposit trading depo account	
Дополнительная информация/ Additional information				

О любом изменении в учредительных и иных документах, мы немедленно будем извещать АйСиБиСи Банк (АО) в письменной форме с одновременным предоставлением соответствующих документов и будем нести всю ответственность за возможные неблагоприятные последствия, связанные с задержкой получения АйСиБиСи Банком (АО) такого извещения и соответствующих документов/ We shall immediately notify Bank ICBC (JSC) in writing of any changes in the constituent and other documents with simultaneous provision of respective documents and we shall bear full responsibility for any adverse consequences resulting from late receipt by Bank ICBC (JSC) of such notice and respective documents.

Руководитель/ Head _____ / _____
должность/ position подпись/ signature Ф.И.О./ Full name
 Представитель, действующий на основании/
 Representative acting under _____ / _____
наименование документа/ document name подпись/ signature Ф.И.О./ Full name
 М.П./ L.S.

Дата заполнения Анкеты/ Completed on: ' ____ ' _____ 20 ____ г.

Приложение 8 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 8 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

В Депозитарий АйСиБиСи Банка (АО)/
To the depository of Bank ICBC (JSC)

От/ From: _____

*наименование юридического лица; Ф.И.О. физического
лица/ Name of the legal entity; name of the individual*

Адрес/ Address: _____

Удостоверяющий документ/ Identity document: _____

*наименование, серия, номер, дата, место выдачи/
type, series, number, date, place*

'_____', _____ 20__ г.

**Заявление/ Application
на списание комиссии за депозитарные услуги/
for writing-off the fee for depository services**

Я/ I, _____,
наименование юридического лица; Ф.И.О./ name of legal entity; name of individual

в лице/ represented by _____,
предоставляю АйСиБиСи Банку (АО) hereby grant Bank ICBC (JSC) a pre-
заранее данный акцепт на списание authorization to write off the fee for the
комиссии за депозитарные услуги depository services under the Tariffs of Bank
согласно 'Тарифам АйСиБиСи Банка ICBC (JSC) for depository activities from
(АО) по депозитарной деятельности' со account
счета

открытого в АйСиБиСи Банке (АО), в opened with Bank ICBC (JSC) in accordance
соответствии с суммами и сроками, with the amounts and terms specified in the
указанными в счетах за депозитарные invoices of Bank ICBC (JSC) for depository
услуги, оказанные АйСиБиСи Банком services.
(АО).

Настоящее заявление действует с даты its signature and till the end of the term of
его подписания и до прекращения срока depository Agreement
действия депозитарного договора

№ _____ от/ dated '____', _____ 20__ г.,
либо до получения от Депозитария till the receipt from the depository of Bank
АйСиБиСи Банка (АО) уведомления о ICBC (JSC) of a notice on fulfillment of
полном исполнении обязательств перед obligations to the depository of Bank ICBC
АйСиБиСи Банком (АО). (JSC).

Депонент/ Depositor:

должность/ position *подпись/ signature* *Ф.И.О./ Full name*
М.П./ L.S.

Приложение 9 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 9 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Заявление/ Application
на открытие торгового счета (счетов) депо в рамках ранее
заключенного депозитарного договора/
to open a trading Depo account(s) under a previously concluded
Depository Agreement
'____', _____ 20__ г.

Наименование юридического лица; Фамилия, имя, отчество физического лица/
Name of the legal entity; name of the individual

Настоящим прошу открыть торговый счет (счета) депо в рамках заключенного с АйСиБиСи Банком (АО)/ I hereby request to open a trading depo account(s) under the agreement concluded with Bank ICBC (JSC):

☐ Договора счета депо владельца/ Owner Depo account agreement

№ _____ от/ dated _____

☐ Договора счета депо номинального держателя/ Nominee depo account agreement

№ _____ от/ dated _____

☐ Договора счета депо иностранного номинального держателя/ Foreign nominee depo account agreement № _____ от/ dated _____

☐ Договора счета депо доверительного управляющего/ Trustee depo account agreement № _____ от/ dated _____

☐ Договора счета депо иностранного уполномоченного держателя/ Foreign authorized holder's deposit account agreement № _____ от/ dated _____

Клиринговая организация (отметить нужное)/Clearing organization (tick as appropriate):

☐ — Небанковская кредитная организация-центральный контрагент 'Национальный Клиринговый Центр' (Акционерное общество)/ Central Counterparty National Clearing Centre

☐ — НКО АО НРД/ NATIONAL SETTLEMENT DEPOSITORY

Настоящим гарантируем, что все ценные бумаги, которые будут депонироваться нами в Депозитарии АйСиБиСи Банка (АО), принадлежат нам или доверены нам или будут приобретены нами в полном соответствии с действующим законодательством Российской Федерации./ We hereby guarantee that any securities we deposit to the depository of Bank ICBC (JSC) belong to us/are trusted to us or will be acquired by us in full compliance with the applicable legislation of the Russian Federation.

_____/_____/_____
должность руководителя юридического лица/ position of the head of the legal entity *подпись/ signature* *Ф.И.О./ Full name*

Главный бухгалтер/ Chief Accountant _____/_____/_____
подпись/ signature *Ф.И.О./ Full name*

М.П./ L.S.

Открыт(ы) следующий (ие) счет(а) депо/ The following depo account(s) is(are) opened:

Торговый счет депо владельца/ Trading owner depo account	
Торговый счет депо номинального держателя/ Nominee trading depo account	
Торговый счет депо иностранного номинального держателя/ Foreign nominee trading depo account	
Торговый счет депо доверительного управляющего/ Trustee trading depo account	
Торговый счет депо иностранного уполномоченного держателя/ Foreign authorized holder's deposit trading depo account	

Уполномоченное лицо АйСиБиСи Банка (АО)/ Authorized representative of Bank ICBC (JSC)

_____	_____	_____
<i>Должность/ Position</i>	<i>подпись/ signature</i>	<i>Ф.И.О./ Full name</i>
	М.П./ L.S.	

Приложение 10 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 10 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Заявление/ Application
на открытие счета (счетов) депо в рамках ранее заключенного
депозитарного договора/ to open Depo account(s) under a previously
concluded Depository Agreement

'____', _____ 20____ г.

Настоящим/ Hereby _____

*наименование юридического лица; Фамилия, имя, отчество физического лица/ Name of the
legal entity; name of the individual*

прошу открыть счет(а) депо/ request opening a depo account(s)

указать вид счета/счетов депо/ specify the depo account(s) type

в рамках заключенного с АйСиБиСи Банком (АО)/ under the agreement concluded with
Bank ICBC (JSC), namely:

☐ Договора счета депо владельца/ Owner depo account agreement № _____ от/dated _____

☐ Договора счета депо номинального держателя/ Nominee depo account agreement № _____ от/dated _____

☐ Договора счета депо иностранного номинального держателя/ Foreign nominee depo
account agreement № _____ от/dated _____

☐ Договора счета депо депозитарных программ/ Depository program depo account
agreement № _____ от/ dated _____

☐ Договора казначейского счета депо эмитента (лица, обязанного по ценным бумагам)/
depository program depo account agreement № _____ от/dated _____

☐ Договора счета депо доверительного управляющего/ Trustee depo account agreement
№ _____ от/ dated _____

☐ Договора счета депо иностранного уполномоченного держателя/ Foreign authorized
holder's deposit account agreement № _____ от/ dated _____.

Настоящим гарантируем, что все ценные бумаги, которые будут депонироваться нами в
Депозитарии АйСиБиСи Банка (АО), принадлежат нам или доверены нам или будут
приобретены нами в полном соответствии с действующим законодательством
Российской Федерации/ We hereby guaranty that any securities we deposit in the depository
of Bank ICBC (JSC) belong to us or trusted to us or will be acquired by us in full compliance
with the applicable legislation of the Russian Federation.

_____/ _____/
должность руководителя юридического лица/ подпись/ signature *Ф.И.О./ Full name*
position of the head of the legal entity

Главный бухгалтер/ Chief Accountant _____/
подпись/ signature *Ф.И.О./ Full name*

М.П./ L.S.

Открыт(ы) следующий (ие) счет(а) депо/ The following depo account(s) is(are) opened:

Счет депо владельца/ Owner depo account	
Счет депо номинального держателя/ Nominee depo account	
Счет депо иностранного номинального держателя/ Foreign nominee depo account	
Счет депо депозитарных программ/ Depository program depo account	
Казначейский счет депо эмитента/ Issuer treasury depo account	
Счет депо доверительного управляющего/ Trustee depo account	
Счета депо иностранного уполномоченного держателя/ Foreign authorized holder's deposit depo account	

Уполномоченное лицо АйСиБиСи Банка (АО)/Authorized representative of Bank ICBC (JSC)

_____ / _____ / _____
должность/ position *подпись/ signature* *Ф.И.О./ Full name*

М.П./ L.S.

Annex 11
to the 'Terms and Conditions of
Depository Activities of
Bank ICBC (JSC)'

**Application
for closing Depo account (for Individuals)**

_____20____

Hereby I, _____
Name, surname, patronymic

Identity document: _____
name, series, number, date, and place of issue

address of the place of residence (registration) _____

request to close my depo account(s) No. _____.

Depositor:

_____ / _____
signature *Full name*

Приложение 12 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 12 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Бланк организации/ Headed paper of the organization

**Заявление/ Application
на закрытие счета депо (для юридических лиц)/
for closing Depo account (for Legal Entities)**

‘ _____ ’ _____ 20__ г.

Настоящим _____,
полное наименование юридического лица/ full name of legal entity

адрес места нахождения/ Location address: _____,

просит Вас закрыть наш счет(а) депо №/ hereby request(s) that you close our depo account
No. _____.

Депонент/ Depositor:

*должность руководителя юридического лица/
position of the head of the legal entity*

_____/_____
подпись/ signature Ф.И.О./ Full name

Главный бухгалтер/ Chief Accountant

_____/_____
подпись/ signature Ф.И.О./Full name

М.П./ L.S.

Annex 13
to the 'Terms and Conditions of
Depository Activities of
Bank ICBC (JSC)'

**Certificate
of opening (closing) Depo account**

This is to certify that for

_____ *name of the legal entity or name, surname, patronymic of the individual*

a depo account is opened (closed) with the depository of Bank ICBC (JSC).

Date of depo account opening (closing) _____, 20____

Account number: _____

_____/_____
Position of the Depository employee signature Full name

L.S.

Date of issue of certificate _____, 20____

Annex 14
to the 'Terms and Conditions of
Depository Activities of
Bank ICBC (JSC)'

Certificate of opening (closing) Depo account section

This is to certify that for

name of the legal entity or name, surname, patronymic of the individual

section opened (closed): _____

depo account section number and name

depo account number and name

with the Depository of Bank ICBC (JSC)

Date of depo account section opening (closing) _____, 20__

_____/_____

Position of the Depository employee *signature* *Full name*

L.S.

Date of issue of certificate _____, 20__

Приложение 15 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 15 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

**Поручение депо/ Depository Order
о регистрации изменений
в анкете юридического или физического лица/
to register changes in the customer information form
(Legal Entity/Individual) № _____**

‘ _____ ’ _____ 20__ г.

счет депо №/ depo account No.

Инициатор операции/ Originator: _____

Тип поручения: административный/ Order type: administrative

Наименование Депонента/ Depositor name: _____

Прошу зарегистрировать следующие изменения в анкете юридического или физического лица/ I hereby request registration of the following changes in the customer information form for a legal entity/individual: _____

Подтверждающие документы прилагаются.

Приложения:

Please find enclosed the following supporting documents:

1. Анкета юридического или физического лица с изменениями/ Legal entity or individual information form, as amended.
2. _____

Депонент/ Depositor:

_____ / _____ / _____
должность/ position подпись/ signature Ф.И.О./ Full name

М.П./ L.S.

Приложение 16 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 16 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Поручение депо/ Depository Order
на зачисление ценных бумаг/ for crediting of securities № _____
'_____', _____ 20__ г.

Тип поручения: инвентарный/ Order type: inventory

Инициатор операции/ Originator: _____

Вид счета депо отправителя/ Originator's security account type				
Отправитель/ From:				
	<i>полное наименование юридического лица; фамилия, имя, отчество физического лица/ full name of the legal entity; name of the individual</i>			
Счет депо отправителя (раздел)/ Originator's security account (section)				
Место хранения ценных бумаг/ Securities storage place:				
Вид счета депо Депонента/ Depositor's security account type				
Депонент/ Depositor:				
	<i>полное наименование юридического лица; фамилия, имя, отчество/ full name of the legal entity; name of the individual</i>			
Документ/ Document:	Серия/ Series	№.	от/ dated	00.00.0000
<i>ОГРН, паспорт или иное/ OGRN, passport, other document</i>				
<i>кем выдан/ issued by</i>				
Счет депо Депонента/ depositor's security account:		раздел/ section:		
Место хранения ценных бумаг/ Securities storage place:				
Наименование ценной бумаги/ Security name:				
Тип ценной бумаги/ Security type:				
Номер государственной регистрации/ Security state registration number (ISIN):				
Количество ценных бумаг в операции/ Number of securities in the transaction:	XXXX (прописью/ in words) шт./ pcs.			
Вышеуказанная ценная бумага/ The above security:	обременена/не обременена обязательствами/ encumbered/non-encumbered			
Основание совершения операции/ Basis for transaction:				
Дополнительная информация/				

Additional information:

Депонент/ Depositor:

должность/ position

подпись/ signature

Ф.И.О./ Full name

М.П./ L.S.

Приложение 17 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 17 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Поручение депо/ Depository Order
на списание ценных бумаг/ for debiting of securities № _____
' _____ ', _____ 20__ г.

Тип поручения: инвентарный/ Order type: inventory

Инициатор операции/ Originator: _____

Вид счета депо отправителя/
Depositor's security account type

Отправитель/ Depositor:

*полное наименование юридического лица; фамилия, имя, отчество физического
лица/ Full name of the legal entity; name of the individual*

Документ/
Document:

Серия/
Series

No

От/
Dated

00.00.0000

ОГРН, паспорт или иное / OGRN, passport, other document

кем выдан/ issued by

Счет депо Депонента/
Depositor's security
account:

раздел/
section:

Место хранения ценных бумаг/
Securities storage place:

Вид счета депо получателя/
Beneficiary's security account type

Получатель/ Beneficiary:

*полное наименование юридического лица; фамилия, имя,
отчество/ Full name of the legal entity; name of the individual*

Счет депо получателя (раздел)/
Beneficiary's security account
(section):

Место хранения ценных бумаг/
Securities storage place:

Наименование ценной бумаги/ Security
name:

Тип ценной бумаги/ Security type:

Номер государственной регистрации
ценной бумаги (ISIN код)/ Security state
registration number (ISIN):

Количество ценных бумаг в операции/
Number of securities in the transaction:

XXXX (прописью) шт./ (in words) pcs.

Вышеуказанные ценные бумаги/ The above securities:

обременены/не обременены
обязательствами/ encumbered/non-
encumbered

Основание совершения операции/ Basis for transaction:

Дополнительная информация/ Additional information:

Депонент/ Depositor:

должность/ position

подпись/ signature

М.П./ L.S.

/_____
Ф.И.О./ Full name

‘ _____ , _____ 20 ____ г.

[illegible][illegible][illegible][illegible]

Счет депо Депонента и раздел, откуда осуществляется перевод/ Depositor's depo account and section the transfer is performed from:

Тип поручения/ Order type: инвентарный/ inventory

Наименование Депонента, куда осуществляется перевод/ Name of the depositor the transfer is performed to:

Наименование ценной бумаги/ Security name: _____

Номер государственной регистрации ценной бумаги (ISIN код)/ Security state registration number (ISIN):

Основание совершения операции/ Basis for transaction: _____

Возможность внесудебного списания залога
(выбрать нужное)/ Non-judicial pledge debiting
possible (check)

☐ Да/ Yes ☐ Нет/No

Φ.Π.Ο./ Full name

Залогодержатель/ Pledge holder ⁴

Φ.Π.Ο./ Full name

M.П./ L.S.

⁴ Поле ‘залогодержатель’ — заполняется и подписывается залогодержателем при снятии обременения с ценных бумаг/ To be filled in and signed by the pledge holder in release of securities

Приложение 19 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 19 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

**Поручение депо/ Depository Order
на изменение места хранения ценных бумаг/
to change securities storage place №. _____**

_____, _____ 20__ г.

счет депо №/ depo account No.

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

раздел счета депо №/ depo account section No.

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Наименование Депонента/ Depositor name: _____

Инициатор операции/ Originator: _____

Счет депо Депонента/ Depositor's security account: _____

Тип поручения/ Order type: инвентарный/ inventory

Операция: изменение места хранения ценных бумаг/ Transaction: for change of securities storage place

Наименование места хранения, откуда производится перемещение ценных бумаг/ Name of the storage place the securities are relocated from: _____

Наименование места хранения, куда производится перемещение ценных бумаг/ Name of the storage place the securities are relocated to: _____

Наименование ценной бумаги/ Security name: _____

Тип ценной бумаги/ Security type: _____

Номер государственной регистрации ценной бумаги (ISIN код)/ Security state registration number (ISIN): _____

Количество ценных бумаг в операции: XXXXXXXX (прописью) шт./ Number of securities in the transaction: XXXXXXXX (in words) pcs.

Основание совершения операции/ Basis for transaction: _____

Дополнительная информация/ Additional information: _____

Депонент/ Depositor:

<i>должность/ position</i>	<i>подпись/ signature</i>	<i>Ф.И.О./ Full name</i>
	М.П./ L.S.	

Order type: inventory
Storage method: closed

Depo account No. _____
Section depo account No. _____

Security name, issuer	Series	Number	Date of issue	Par value

Depositor:

Full name

Full name

L.S.

Annex 21
to the 'Terms and Conditions of
Depository Activities of
Bank ICBC (JSC)'

Depository Order
for removal of certificated securities from storage and accounting No. _____
_____ 20__

Order type: inventory
Storage method: closed

Name of the legal entity; name, surname, patronymic of the individual

Depo account No. _____

Depo account section No. _____

Security name, issuer	Series	Number	Date of issue	Par value

Total securities: _____

Total nominal value: _____

Depositor:

position of the head of the legal entity
Chief Accountant

signature

Full name

signature

Full name

L.S.

Securities accepted by:

position of the authorized representative

signature

Full name

_____ 20__

счет депо №/ depo account No.[illegible]

раздел счёта депо №/ depo account section No.

[illegible]

Наименование Депонента/ Depositor name: _____

Номинальная стоимость/ Nominal value: _____

Количество ценных бумаг (штук)/ Number of securities (pcs): _____

Место хранения ценных бумаг/ Securities storage place: _____

Тип и дата проведения корпоративного действия/ Type and date of the corporate action:

Инструкция для Депозитария/ Instruction for the depository: _____

Срок начала исполнения/ Start date of execution: _____

Особые условия и дополнительные сведения/ Special conditions and additional information:

Депонент/ Depositor:

_____ / _____

должность/ position

подпись/ signature

Ф.И.О./ Full name

M.P./ L.S.

Приложение 23 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 23 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

**Поручение депо/ Depository Order
на совершение информационной операции/
to perform an information operation № _____
' _____ ' _____ 20__ г.**

счет депо №/ depo account No.

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Инициатор операции/ Originator: _____

Тип поручения: информационный/ Order type: information

Наименование Депонента/ Depositor name: _____

Прошу предоставить (нужное отметить X или V)/ Please provide (check as appropriate with X or V):

☐ Выписку по счету депо по состоянию на дату/ Statement of depo account as of

_____ *дата/ date*

- ☐ на конец операционного дня/ the end of the business day
- ☐ на начало операционного дня (для целей направления предложения о внесении вопросов в повестку дня, предложения о выдвижении кандидатов в органы управления и иные органы общества или требования о проведении внеочередного общего собрания акционеров)/ at the beginning of the business day (for the purposes of sending a proposal to include issues in the agenda, proposals to nominate candidates to the management bodies and other bodies of the company or requirements to hold an extraordinary General meeting of shareholders)

☐ Отчет о совершенной депозитарной операции (операциях) по счету депо/ Report on depository transactions performed: с/ from _____ по/ to _____
период времени/ time period

☐ Другое/ Other _____

Депонент/ Depositor:

_____ / _____ / _____

должность/ position

подпись/ signature

Ф.И.О./ Full name

М.П./ L.S.

Annex 24
to the 'Terms and Conditions of
Depository Activities of Bank ICBC (JSC)'

Depository of Bank ICBC (JSC)
License of the Bank of Russia No. 045-13992-000100 issued on August 18, 2016
29 Serebryanicheskaya Embankment,
Russia, 109028, Moscow, Telephone (495) 287-30-99; Fax (495) 287-30-98

Report on depository operation (operations) performed on the Depo account

from _____ to _____

Issued on request ⁵

No _____ from _____

The depo account number, the name of the depo account _____

Depositor _____

Inventory operations

№ in order	Order number and date	Operation date	Depo account section No.	Issuer / name of security/Securities state registration number (ISIN)	Type of inventory operation	Opening balance	Number	Closing balance	Basis of operation	Correspondent/ Correspondent Account/ Section

Administrative operations

№ in order	Order number and date	Operation date	Type of administrative document

Information operations

№ in order	Order number and date	Operation date	Type of information document

_____/_____/_____
Position of the Depository employee signature Full name

L.S.

Date and time of report _____

⁵ To be filled in if the report is provided on the basis of depository orders to perform an information operation

Annex 25
to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Depository of Bank ICBC (JSC)
License of the Bank of Russia No. 045-13992-000100 issued on August 18, 2016
29 Serebryanicheskaya Embankment,
Russia, 109028, Moscow, Telephone (495) 287-30-99; Fax (495) 287-30-98

STATEMENT OF DEPO ACCOUNT № _____

_____ (as of the end of operating day/as of the start of operating day⁶)

The depo account number, the name of the depo account
Depositor _____

Type of depo account _____

Section _____

№ in order	Security category	Issuer	Edition	Securities state registration number (ISIN)	Face value	Number

Total for section _____

Total for account _____

Position of the Depository employee *signature* / *Full name* /

L.S.

Date and time of report _____

⁶ This account statement is not a security, and confirms the existence of securities at the beginning of the operational day of the above date

Annex 26
to the 'Terms and Conditions of
Depository Activities of
Bank ICBC (JSC)'

Depository of Bank ICBC (JSC)
License of the Bank of Russia No. 045-13992-000100 issued on August 18, 2016
29 Serebryanicheskaya Embankment,
Russia, 109028, Moscow, Telephone(495) 287-30-99; Fax (495) 287-30-98

Report on the execution of administrative operation

Type of operation
Date of receipt of the order
Incoming order number
Date of execution
Originator of the order
Date of report

Object to be changed

--	--

Position of the Depository employee

signature
L.S.

/_____
Full name

Annex 27
to the 'Terms and Conditions of
Depository Activities of
Bank ICBC (JSC)'

Notification
by Bank ICBC (JSC) of payment and transfer of proceeds

Ref. No. _____ dated _____ 20__

Depositor's name: _____

We hereby notify you that _____

To be completed in the event of funds transfer upon shares:

Issuer name	
Security name	
Record date	
Number of shares dividends are attributed to for the Depositor (as of the record date, pcs.)	registered preference shares
Number of shares dividends are attributed to for the Depositor (as of the record date, pcs.)	registered ordinary shares
Securities par value	
Dividend rate per share	registered preference shares
Dividend rate per share	registered ordinary shares
Total amount of dividends attributed	
Amount of tax withheld	
Amount payable to the Depositor	
Additional information	

To be completed in the event of funds transfer upon bonds:

Issuer name	
Security name	
Record date	
Number of bonds with coupons for the Depositor (as of the record date, pcs.)	
Securities par value	
Currency par value	
Coupon rate per bond	
Total amount of coupon	
Total amount of coupon income and principal debt or any part thereof	
Amount payable to the Depositor	
Additional information	

Payment order:

Number and date of the payment order	
Beneficiary	
TIN	
Account No.	
Bank of beneficiary	

Position of the Depository employee
L.S.

signature

Full name

Annex 28
to the 'Terms and Conditions of
Depository Activities of Bank
ICBC (JSC)'

Tariffs of Bank ICBC (JSC) for depository activities

No.	Services	Tariff ⁷ (not subject to VAT)
1.	Administrative operations	
1.1.	Opening the depo account:	300 rubles To be charged per operation
1.2.	Opening the depo account section on behalf of the Depositor	150 rubles To be charged per operation
1.3.	Opening of the nominal holder depo account section of Bank ICBC (JSC) in NSD or nominal holder depo account of Bank ICBC (JSC) in NSD on behalf of the Depositor	300 rubles Charged to the Depositor for the opening of each section
1.4.	Servicing of the depo account of the Depositor in case of opening of the nominal holder depo account section of Bank ICBC (JSC) in NSD or nominal holder depo account of Bank ICBC (JSC) in NSD on behalf of the Depositor	150 rubles Charged to the Depositor on a monthly basis
1.5.	Closing of depo accounts or the sections depo account	Commissions shall not be charged
1.6.	Modification of the questionnaire details of Depositor, Depositor's authorized representative	Commissions shall not be charged
2.	Storage and registration of securities	
2.1.	Storage and/or accounting of securities in foreign or international accounting systems	0.03% but not less than 100 rubles Charged on a monthly basis in % per annum of the average value of the securities holding.
No.	Services	Tariff (not subject to VAT)

⁷Fee is made according to the order provided by Chapter 19 of Terms and Conditions

2.2.	Storage and/or accounting of securities in registrars or in correspondent depositories that are residents of the Russian Federation, except for the cases specified in Clauses 2.3, 2.4, 2.5 of these tariffs	Commissions shall not be charged
2.3.	Storage and/or accounting in NSD of securities that do not have a market price – shares, investment units, mortgage participation certificates, depository receipts, for a Depositor that is a legal entity	300 rubles for issue, but no more than 15,000 rubles Fee charged on a monthly basis for each issue of securities on depo account
2.4.	Storage and/or registration in NSD of securities with no market price for the Depositor that is an individual	Commissions shall not be charged
2.5.	Non-issue-grade certificated securities storage and accounting	0.1%, but not less than 300 rubles Charged on a monthly basis in % per annum of the average value of the securities holding.
3.	Inventory operations	
3.1.	Receipt of securities for storage and accounting	
3.1.1.	Crediting and/or registration of non-certificated securities and issue certificated securities with mandatory centralized storage	150 rubles To be charged per operation
3.1.2.	Acceptance of non-issue-grade and issue-grade certificated securities for storage and/or accounting, except for those listed in Clause 3.1.1 of these tariffs	50 rubles To be charged per security
3.2.	Removal of securities from storage and accounting	
3.2.1.	Debiting from storage and/or accounting of non-certificated securities and issue-grade certificated securities with mandatory centralized storage	700 rubles To be charged per operation
No.	Services	Tariff (not subject to VAT)

3.2.2.	Debiting from storage and/or accounting of non-issue-grade and issue-grade certificated securities, except for those listed in Clause 3.2.1 of these tariffs	150 rubles To be charged per security
3.3.	Transfer of securities on depo accounts or the sections depo account. Relocation (change of place of securities storage).	
3.3.1.	Transfers of securities between security accounts opened with the Depository of Bank ICBC (JSC)	150 rubles To be charged per operation
3.3.2.	Transfer of securities through sections of one depo account on behalf of the Depositor	Commissions shall not be charged
3.3.3.	Relocation (change of place of storage) of issue-grade non-certificated securities and issue-grade certificated securities with mandatory centralized storage	850 rubles To be charged per operation
3.3.4.	Relocation (change of place of storage) of certificated securities, except for those listed in Clause 3.3.3 of this tariff	200 rubles To be charged per operation
4.	Registration of encumbrance of securities with obligations	
4.1.	Registration of encumbrance of securities with obligations	300 rubles To be charged per operation
4.2.	Register of termination of encumbrance of securities with obligations	300 rubles To be charged per operation
5.	Information operations ⁸	
No.	Services	Tariff (not subject to VAT)

⁸ The commissions specified in Section 5 shall be charged also for reports (statements) provided to the customer on the depo account closed.

5.1	Depo account report (statement) in paper form or depo account section report (statement), Report on the execution of administrative operation under the Depository order for the Depositor that is an individual	50 rubles ⁹ To be charged per operation
5.2.	Depo account report (statement) in paper form or depo account section report (statement) under the Depository order for the Depositor that is a legal entity	100 rubles To be charged per operation
5.3.	Issuance at the Depositor's request of copies of the documents, including scanned copies	30 rubles To be charged per sheet
5.4.	Statement (or report) of the registrar or the correspondent Depository provided at the request of the Depositor in respect of securities of the depositor on the account of the nominee of Bank ICBC (JSC)	300 rubles Charged per statement
6.	Corporate actions	
6.1.	Acceptance and processing of applications and orders of Depositors for participation in corporate action. General meeting of holders of securities for legal entities	1500 rubles Charged per application (order) on voting for each package of securities at the place of storage
6.2.	Acceptance and processing of applications and orders of Depositors for participation in corporate action. General meeting of owners of securities for individuals	750 rubles Charged per application (order) on voting for each package of securities at the place of storage
6.3.	Receipt and processing of Depositors' applications for participation in the corporate actions of the issuer of the securities with the accompanying documents, except as specified in Clauses 6.1 and 6.2 of these tariffs	1500 rubles Charged per application (set of attached documents) for each package of securities at the place of storage
7.	Order cancellation	
No.	Services	Tariff (not subject to VAT)
7.1.	Depositor's order cancellation	300 rubles To be charged per operation

⁹ The commission shall not be charged for the initial provision of a report on the depository operation (operations) performed on the depo account and Report on the execution of administrative operation

8.	Ensuring the exchange of information via SWIFT communication channels	
8.1.	Ensuring the exchange of information via SWIFT communication channels	500 rubles + 30 rubles for each depo account, on which the exchange of documents via SWIFT communication channels is arranged. To be charged on a monthly basis, regardless of the volume of information exchange

1. If it is specified in the Tariff column that payment is to be charged per operation, the fee is calculated per operation performed in respect of each issue of securities.

2. In addition to the tariff, an amount is charged equal to the amount of the actual expenses of Bank ICBC (JSC) suffered in fulfillment of its obligations to the Depositor (compensation for the actual expenses of Bank ICBC (JSC)).

3. The remuneration amount calculated in accordance with the tariffs shall be rounded to kopecks. According to the separate arrangement with Depositors Bank ICBC (JSC) on paid basis the additional services which are not provided by these Tariffs can be rendered.

4. If the operation is performed via the centralized clearing and settlement system of Moscow Stock Exchange due to the settlement of an operation performed by Bank ICBC (JSC) as a broker at the Depositor's expense, no remuneration is charged on the tariffs of securities storage and debiting from storage.

5. Fee for storage and/or registration of securities specified in Clauses 2.1 and 2.5 of these tariffs is charged as a percentage of the securities holding average value determined separately for the issue-grade non-certificated securities denominated in rubles and foreign currency, for the issue-grade certificated and non-issue-grade securities.

6. The average cost of a package of securities in the depo account is determined by the aggregate amount of packages of all issues of securities recorded in the reporting month.

The average cost of package of securities in the depo account for charging for storage is calculated using the formula:

$$C_{cp.} = \sum_{n=1}^M \sum_{i=1}^N (C_{ni} * K_{\text{руб} n}) / N$$
, where C_{ni} is the appraised value of the securities n in ruble or currency equivalent as of the end of the corresponding (i^{th}) calendar day of the billing period;
 $K_{\text{руб} n}$ is the currency rate of the appraised value of the securities in the Russian rubles set by the Bank of Russia for the last calendar date of the billing period, according to the official website of the Bank of Russia. For the Russian rubles, the exchange rate is taken equal to 1;
 N – number of calendar days in the billing period;
 M – number of different issues (issue-grade securities) or pieces (non-issue-grade securities) recorded on the depo account at least one (1) day during the billing period.

Estimated value of securities is determined as the product of the number of securities at the end of the relevant calendar day of the billing month on the denomination of the securities.

In the absence of information on the par value of the securities, the appraised value is determined based on the market value of the securities according to Thompson Reuters

information system. The appraised value of the securities being determined is expressed in Russian rubles.

In case of settlements in foreign currency, the appraised value of the securities is converted into the Russian rubles at the rate set by the Bank of Russia as of the date of settlement.

Приложение 29 к 'Условиям осуществления
депозитарной деятельности АйСиБиСи Банка (АО)'/
Annex 29 to the 'Terms and Conditions of Depository
Activities of Bank ICBC (JSC)'

Обращение Депонента/ Depositor's Application № _____

От/ dated '____', _____ 20 ____ г.

Депонент/ Depositor

Счет депо/

Depo account

Суть обращения/ Application summary:

Данные Депонента/ Depositor's data:

--

Депонент/ Depositor:

_____ / _____ / _____
<i>должность/ position</i> <i>подпись/ signature</i> <i>Ф.И.О./ Full name</i>
М.П./ L.S.

КОНТРОЛЬ ИСПОЛНЕНИЯ/ EXECUTION CONTROL

Резолюция по обращению/ Resolution on the application:

Уполномоченное лицо, рассмотревшее обращение/ Application reviewed by:

_____ / _____ / _____
<i>должность/ position</i> <i>подпись/ signature</i> <i>Ф.И.О./ Full name</i>

Annex 30
to the 'Terms and Conditions of
Depository Activities of Bank
ICBC (JSC)'

Refusal of the Depository

in the conclusion of a depository Agreement / in the acceptance for execution or execution
of a Depository Order / in the acceptance of the securities issue for service

date _____

Content of the Depository's refusal	
Grounds for refusal	
The procedure for eliminating the reasons that caused the refusal	

position of the Depository employee

_____/_____
signature *Full name*